

# Turbulent processes and mean-field dynamo

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**Abstract** Mean-field dynamo theory has important applications in solar physics and galactic magnetism. We discuss some of the many turbulence effects relevant to the generation of large-scale magnetic fields in the solar convection zone. The mean-field description is then used to illustrate the physics of the  $\alpha$  effect, turbulent pumping, turbulent magnetic diffusivity, and other effects on a modern solar dynamo model. We also discuss how turbulence transport coefficients are derived from local simulations of convection and then used in mean-field models.

## 1 Introduction

The problem of solar and stellar dynamos is still an open one. In spite of tremendous progress over recent decades, we still do not understand with any degree of certainty

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the reason behind the equatorward migration of solar activity belts, the dependence of cycle frequency on rotation frequency, or the level of magnetic activity. All models of solar and stellar magnetism rely on some assumptions. Even the most realistic simulations suffer from finite resolution and the compromises in the physics that are made. The crucial question is then, when and where we are allowed to make compromises and when not. Among those approximations is the second-order correlation approximation (SOCA), also known as the first-order smoothing approximation. These are nowadays either replaced by other approximations or by numerical techniques such as the test-field method, as will be explained later in this review.

The Sun’s magnetic field exhibits a clear mean field with spatio-temporal order: antisymmetry of radial and toroidal fields about the equator and the 11-yr cycle. This mean field can well be described by an azimuthal average. The radial component of such an azimuthally averaged mean field has a typical strength of  $\pm 10$  G. This is not much compared with the peak strength of  $\pm 2$  kG in sunspots, but much of this is “lost” in the process of averaging. Of course, whatever is lost corresponds to fluctuations, which actually play crucial parts and correlations between different fluctuations lead to various mean-field effects.

Mathematically, once an averaging procedure has been defined, we have the mean field  $\bar{\mathbf{B}}$ , indicated by an overbar. Then, the difference between the actual and the mean field,  $\mathbf{B}$  and  $\bar{\mathbf{B}}$ , gives the fluctuating field as  $\mathbf{b} \equiv \mathbf{B} - \bar{\mathbf{B}}$ . The same procedure also applies to all other quantities. This formal distinction between mean and fluctuating fields, which are sometimes also called large-scale and small-scale fields, is important in discussions with observers. Coronal mass ejections, for example, are superficially reported as being part of a large-scale field, but this may not be true anymore when we think of averaging over the full solar circumference. Thus, paradoxically, even if something is large by some standards, it may not qualify as large-scale under this formal definition of an azimuthal averaging.

Azimuthal averaging is not always a good recipe. Some stars have nonaxisymmetric magnetic fields, and even the Sun is believed to have what is known as active longitudes – a weak nonaxisymmetric magnetic field on top of a predominantly axisymmetric one. Those nonaxisymmetric fields might best be described through low-order Fourier mode filtering. This is probably completely fine, but slightly problematic at the formal level, because then the average of the product of mean and fluctuating fields is no longer vanishing, as it would be in the case of an azimuthal average. This mathematical property is one of several rules that are called the Reynolds rules. However, as alluded to above, the violation of this particular Reynolds rule this is probably just a technicality that makes mean-field predictions less accurate. We refer here to the work of Zhou et al (2018) for a detailed investigation. There are a number of other limitations in mean-field theories that will be discussed below.

The purpose of defining mean fields is twofold. On the one hand, they allow us to quantify large-scale magnetic, velocity, and other fields that are observed or that are present in a simulation. On the other hand, they allow us to develop predictive theories for these averages. In these theories, mean fields can sometimes emerge because of instabilities and/or because of suitable boundary conditions. This

is possible because of certain mean-field effects, by which one usually means the relations between correlations of fluctuations and various mean fields. Discussing those effects is an important purpose of this review. The ultimate goal of mean-field dynamo theory is to understand and model the Sun and other stars. We therefore also discuss in this review the status of such attempts. For a *basic* introduction to mean-field theory, which is not the subject of this review, we refer to standard textbooks (Moffatt, 1978; Krause and Rädler, 1980; Zeldovich et al, 1983) and other reviews (Brandenburg and Subramanian, 2005a; Kulsrud and Zweibel, 2008; Miesch and Toomre, 2009; Charbonneau, 2010, 2014).

## 2 Mean-field theory and avoiding some of its limitations

We can never expect a mean-field theory to produce an accurate representation of reality. One reason is the fact that the underlying turbulence has stochastic aspects, so each realization with slightly different initial conditions would result in a somewhat different outcome. However, there could be other reasons for discrepancies that we discuss next. Some of those discrepancies can nowadays be avoided.

**Mean-field electrodynamics.** In mean-field theory, one derives evolution equations for the averaged fields, namely the mean magnetic field  $\overline{\mathbf{B}}$ , the mean velocity  $\overline{\mathbf{U}}$ , and the mean thermodynamic variables such as mean specific entropy  $\overline{S}$  and the mean density  $\overline{\rho}$ . Often, one neglects the evolution of  $\overline{\mathbf{U}}$ ,  $\overline{S}$ , and  $\overline{\rho}$ , which is then already an important limitation.

If one focuses on the evolution of the mean magnetic field only, one often talks about the mean-fields electrodynamics or quasi-kinematic mean-field theory, which can still be nonlinear if the various mean-field transport coefficients depend on the mean fields. If they are unaffected, one talks about kinematic mean-field theory, which is linear. Of course, once there is a dynamo, we have an exponentially growing solution, so the magnetic field would grow without limit, i.e., it would not saturate within kinematic mean-field theory. Obviously, a correct mean-field theory must be nonlinear, but even within the realm of linear theory, there are important lessons to be learnt. Below, we discuss the aspects of nonlocality, which were often omitted out of ignorance, but nowadays we know that this is often not possible and this restriction can easily be relaxed.

**Nonlocality.** The mean magnetic field is governed by the mean induction equation, which is sometimes also referred to as the mean-field dynamo equation. The most important term here is the electromotive force,

$$\overline{\mathcal{E}} = \overline{\mathbf{u} \times \mathbf{b}}, \quad (1)$$

i.e., the averaged cross product of velocity and magnetic fluctuations. In mean-field electrodynamics, it is often expressed as

$$\overline{\mathcal{E}}_i = \overline{\mathcal{E}}_{0i} + \alpha_{ij} \overline{B}_j + \eta_{ijk} \partial \overline{B}_j / \partial x_k + \dots, \quad (2)$$

where the ellipsis denotes higher derivative terms, of which there should be infinitely many, and there should also be time derivatives. The term  $\overline{\mathcal{E}}_{0i}$  is a contribution that can exist already in the absence of a mean field; see Brandenburg and Rädler (2013) for details and numerical experiments. Including only a finite number of derivatives in Eq. (2) and ignoring time derivatives is another important approximation. In fact, it is usually easier to express  $\overline{\mathcal{E}}$  as a convolution between an integral kernel and the mean field. Furthermore, it is instructive to split the integral kernel into two pieces and write

$$\overline{\mathcal{E}}_i = \overline{\mathcal{E}}_{0i} + \hat{\alpha}_{ij} * \overline{B}_j + \hat{\eta}_{ijk} * \partial \overline{B}_j / \partial x_k, \quad (3)$$

where the asterisks mean a convolution in space and time, and the hats denote integration kernels. In principle, the spatial derivative can be absorbed as being part of the integral kernel, but separating the kernel into  $\hat{\alpha}_{ij}$  and  $\hat{\eta}_{ijk}$  has conceptual advantages, because they preserve the similarity to Eq. (2). Note also that, unlike Eq. (2), where we allowed for arbitrarily many derivatives, here, we have no other terms, because all even derivatives are already absorbed in  $\hat{\alpha}_{ij}$  and all odd derivatives are absorbed in  $\hat{\eta}_{ijk}$ . Time derivatives can also be absorbed in both of them if the convolution with the kernels is also over time.

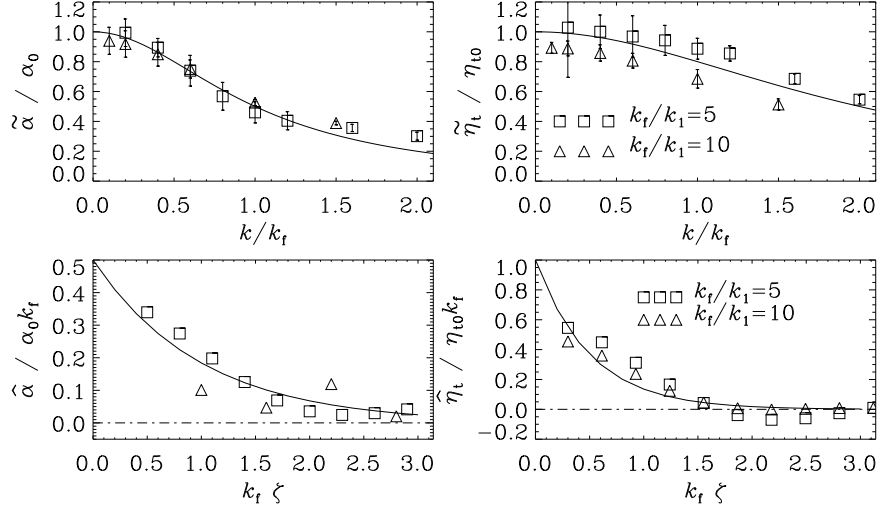
For the benefit of better interpretation, both  $\alpha_{ij}$  and  $\eta_{ijk}$  (and analogously also for  $\hat{\alpha}_{ij}$  and  $\hat{\eta}_{ijk}$ ) can be broken down into further pieces. The  $\alpha_{ij}$  tensor can be split into a symmetric and an antisymmetric tensor. The latter is characterized by a vector,  $\gamma_i = -\frac{1}{2}\epsilon_{ijk}\alpha_{jk}$ , which corresponds to a pumping velocity. Having in mind that the magnetic gradient tensor can also be split into symmetric and antisymmetric parts, where the latter is the mean current density,  $\overline{J}$ , with  $\overline{J}_i = -\frac{1}{2}\epsilon_{ijk}\partial \overline{B}_j / \partial x_k$ , we can separate the rank-3 tensor,  $\eta_{ijk}$ , into a rank-2 tensor operating only on  $\overline{J}$  and the rest operating on the symmetric part of  $\partial \overline{B}_j / \partial x_k$ .

The convolution can only be replaced by a multiplication, as in Eq. (2), if the mean field is constant in time (which is normally never the case!) and if it varies at most linearly in space (which is normally also not the case). We return to this point further below.

**Avoiding SOCA.** Another approximation that is often discussed has to do with the correct calculation of the coefficients or the corresponding  $\alpha_{ij}$  and  $\eta_{ijk}$  kernels. It results from the fact that the differential equations for these expressions are nonlinear and therefore hard to solve analytically. But this is not really a problem when one can calculate numerical solutions of the underlying differential equations. This is done in what is called the test-field method (Schrinner et al, 2005, 2007), which will also be explained below.

In summary, the limitations discussed so far are in principle all avoidable: (i) Evolution equations for  $\overline{U}$ ,  $\overline{S}$ , and  $\overline{\rho}$  can (and have been) included, in addition to that for  $\overline{B}$ , but in practice, even this is still an approximation in the sense that the full set of equations is not (or only approximately) known. (ii) The electromotive force can (and has been) solved as a convolution. In practice, this is cumbersome, but it is possible to approximate this by a differential equation for  $\overline{\mathcal{E}}$  of the form





**Fig. 1** Top: Dependences of the normalized  $\tilde{\alpha}$  and  $\tilde{\eta}_t$  on the normalized wavenumber  $k/k_f$  for isotropic turbulence forced at wavenumbers  $k_f/k_1 = 5$  with  $\text{Re}_M = 10$  (squares) and  $k_f/k_1 = 10$  with  $\text{Re}_M = 3.5$  (triangles), all with  $\nu/\eta = 1$ , using data from Brandenburg et al (2008). The solid lines give the Lorentzian fits (5). Bottom: Normalized integral kernels  $\hat{\alpha}$  and  $\hat{\eta}_t$  versus  $k_f \zeta$  for isotropic turbulence forced at wavenumbers  $k_f/k_1 = 5$  with  $\text{Re}_M = 10$  (squares) and  $k_f/k_1 = 10$  with  $\text{Re}_M = 3.5$  (triangles), all with  $\nu/\eta = 1$ . The solid lines are defined by (6). Adapted from Brandenburg et al (2008).

$$\left(1 + \tau \frac{\partial}{\partial t} - \ell^2 \nabla^2\right) \bar{\mathcal{E}}_i = \alpha_{ij} \bar{B}_j + \eta_{ijk} \partial \bar{B}_j / \partial x_k. \quad (4)$$

This has been considered in several papers (Rheinhardt and Brandenburg, 2012; Rheinhardt et al, 2014; Brandenburg and Chatterjee, 2018). (iii) Numerical solutions can be employed to have precise expressions for  $\alpha_{ij}$  and  $\eta_{ijk}$ ; see Warnecke et al (2018, 2021) for doing this for solar simulations using the test-field method. It often turns out that analytical closure techniques are very useful as a first orientation and they are often also accurate enough for a qualitatively useful model. In special cases, when an accurate solution is required, the answer may well be obtained numerically using the test-field method. The problem is then only that numerical solutions themselves are limited in just the same way as those for a full numerical solution in the solar and stellar dynamo problems.

Figure 1 shows results for  $\tilde{\alpha}(k)$  and  $\tilde{\eta}_t(k)$  with  $\nu/\eta = 1$ . Both  $\tilde{\alpha}$  and  $\tilde{\eta}_t$  decrease monotonously with increasing  $|k|$ . The functions  $\tilde{\alpha}(k)$  and  $\tilde{\eta}_t(k)$  are well represented by Lorentzian fits of the form

$$\tilde{\alpha}(k) \approx \frac{\alpha_0}{1 + (k/k_f)^2}, \quad \tilde{\eta}_t(k) \approx \frac{\eta_{t0}}{1 + (k/2k_f)^2}. \quad (5)$$

The kernels  $\hat{\alpha}(\zeta)$  and  $\hat{\eta}_t(\zeta)$  in the lower part of Figure 1 are obtained numerically. Also shown are the Fourier transforms of the Lorentzian fits,

$$\hat{\alpha}(\zeta) \approx \frac{1}{2}\alpha_0 k_f \exp(-k_f|\zeta|), \quad \hat{\eta}_t(\zeta) \approx \eta_{t0} k_f \exp(-2k_f|\zeta|). \quad (6)$$

We see that the profile of  $\hat{\eta}_t$  is half as wide as that of  $\hat{\alpha}$ .

**The use of mean-field theory.** If mean-field theory cannot reliably be applied to a regime outside that of the direct numerical simulations (DNS), one must ask what is then the use of mean-field theory. The answer lies in the fact that mean-field theory provides us with a diagnostic “tool” for approaching the problem. Particular features of a solution can usually be attributed to particular terms in the mean-field equation. This would then allow as a more informed answer by saying that the main dynamo mechanism is, for example, of  $\alpha\Omega$  type, or of the type of a shear flow dynamo, for example. *Thus, mean-field theory may be regarded as a convenient tool for understanding what is going on rather than predicting what might be going on.*

### 3 The catastrophic quenching problem

Since the 1990s, a problem emerged in that numerical dynamo solutions were found to depend on the value of the microphysical magnetic diffusivity. Typically, the strengths of the mean-fields then decreases with increasing magnetic Reynolds number. This is unusual and does not have any correspondence with ordinary hydrodynamics where the large-scale dynamics is usually already captured at moderate fluid Reynolds numbers. In its original form, the catastrophic quenching problem refers to the finding that the volume-averaged electromotive force scales with the microphysical magnetic diffusivity, and thus goes to zero when  $\eta \rightarrow 0$ . To some extent, this is a problem related to the use of periodic boundary conditions. However, even for astrophysically more realistic boundary conditions, numerical simulations reveal that there is still a problem.

#### 3.1 Mean fields in periodic domains

Under astrophysical conditions of interest,  $\eta$  is so small that the volume-average electromotive force would be negligibly small. If this result was actually astrophysically relevant, it would be a “catastrophe,” i.e., it would not be possible to understand astrophysical magnetic fields as mean-field dynamos. The solution to this particular problem turned out to be that relating the volume-averaged electromotive force to the volume-averaged mean magnetic field is only of limited relevance to the problem of  $\alpha$  effect dynamos. Any dynamo would produce a non-uniform field. Especially in a periodic domain, the mean magnetic flux through any of the faces of the periodic

domain is constant in time, so if it was zero to begin with, it would always remain zero. A dynamo problem can therefore not be formulated in that case.

A proper dynamo problem should always allow for the possibility of the magnetic field to decay to zero if there is sufficient magnetic diffusivity. Simple examples of nontrivial mean fields in a periodic domain are Beltrami fields of the form

$$\overline{\mathbf{B}}(x) \propto \begin{pmatrix} 0 \\ \sin kx \\ \cos kx \end{pmatrix}, \quad \overline{\mathbf{B}}(y) \propto \begin{pmatrix} \cos ky \\ 0 \\ \sin ky \end{pmatrix}, \quad \text{or} \quad \overline{\mathbf{B}}(z) \propto \begin{pmatrix} \sin kz \\ \cos kz \\ 0 \end{pmatrix}, \quad (7)$$

which can be solutions of the simple  $\alpha^2$  dynamo problem,  $\partial \overline{\mathbf{B}} / \partial t = \alpha \nabla \times \overline{\mathbf{B}} + \eta_T \nabla^2 \overline{\mathbf{B}}$ . Nevertheless, there is still a problem of catastrophic nature because it turned out that the time required to reach the final solution scales inversely with  $\eta$ . This is demonstrated in Figure 2, where we show the evolution of one of the three planar averages. In the beginning, all three mean fields grow in a similar fashion, but at some point, only one of the three reaches a significant amplitude. Note, however, that the ultimate saturation takes a resistive time,  $\tau_{\text{res}} = 1/(2\eta k_1^2)$ .

### 3.2 Quenching phenomenology

To understand the reason for the catastrophically slow saturation, it suffices to consider the magnetic helicity equation,

$$\frac{d}{dt} \langle \mathbf{A} \cdot \mathbf{B} \rangle = -2\eta\mu_0 \langle \mathbf{J} \cdot \mathbf{B} \rangle - \nabla \cdot (\mathbf{E} \times \mathbf{A} + \Phi \mathbf{B}), \quad (8)$$

which follows directly from the uncurled induction equation,

$$\frac{\partial \mathbf{A}}{\partial t} = \mathbf{U} \times \mathbf{B} - \eta\mu_0 \mathbf{J} - \nabla \Phi. \quad (9)$$

For periodic domains, we just have

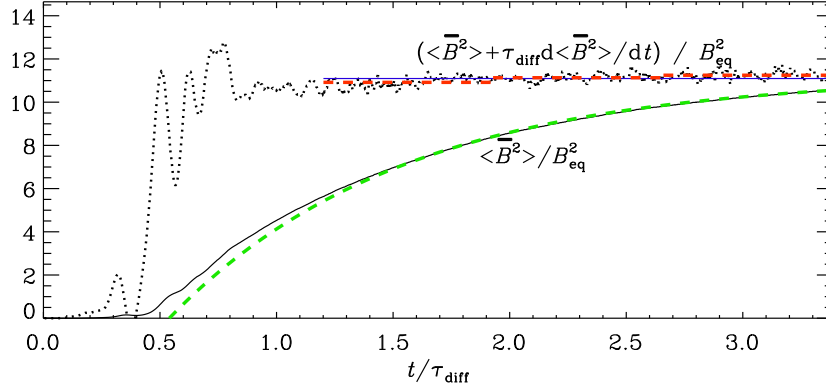
$$\frac{d}{dt} \langle \mathbf{A} \cdot \mathbf{B} \rangle = -2\eta\mu_0 \langle \mathbf{J} \cdot \mathbf{B} \rangle. \quad (10)$$

This equation is gauge-independent, because the gauge transformation  $\mathbf{A} \rightarrow \mathbf{A}' + \nabla \Lambda$  yields  $\langle \mathbf{A} \cdot \mathbf{B} \rangle = \langle \mathbf{A}' \cdot \mathbf{B} \rangle$ , with  $\langle \mathbf{B} \cdot \nabla \Lambda \rangle = \langle \nabla \cdot (\Lambda \mathbf{B}) \rangle - \langle \Lambda \nabla \cdot \mathbf{B} \rangle = 0$ , because  $\nabla \cdot \mathbf{B} = 0$  and the domain is periodic, so the average of a divergence vanishes.

For fully helical large-scale and small-scale magnetic fields of opposite magnetic helicity, Eq. (10) becomes (Brandenburg, 2001)

$$\frac{d}{dt} \langle \overline{\mathbf{B}}^2 \rangle = 2\eta k_1 k_f B_{\text{eq}}^2 - 2\eta k_1^2 \langle \overline{\mathbf{B}}^2 \rangle, \quad (11)$$

with the solution



**Fig. 2** Evolution of the normalized  $\langle \overline{B}^2 \rangle$  and that of  $\langle \overline{B}^2 \rangle + \tau_{\text{diff}} d\langle \overline{B}^2 \rangle / dt$  (dotted), compared with its average in the interval  $1.2 \leq t / \tau_{\text{diff}} \leq 3.5$  (horizontal blue solid line), as well as averages over three subintervals (horizontal red dashed lines). The green dashed line corresponds to Eq. (12) with  $t_{\text{sat}} / \tau_{\text{diff}} = 0.54$ . Adapted from Candelaresi and Brandenburg (2013).

$$\langle \overline{B}^2 \rangle = B_{\text{eq}}^2 \frac{k_f}{k_1} \left[ 1 - e^{-2\eta k_1^2 (t - t_{\text{sat}})} \right]. \quad (12)$$

This agrees with the slow saturation behavior seen first in the simulations of Brandenburg (2001); see Figure 2. Here  $t_{\text{sat}}$  is the time when the slow saturation phase commences; see the crossing of the green dashed line with the abscissa. Interestingly, instead of waiting until full saturation is accomplished, one can obtain the saturation value already much earlier simply by differentiating the simulation data to compute (Candelaresi and Brandenburg, 2013)

$$B_{\text{sat}}^2 \approx \langle \overline{B}^2 \rangle + \tau_{\text{res}} \frac{d}{dt} \langle \overline{B}^2 \rangle. \quad (13)$$

Since  $\tau_{\text{res}}$  involves the microphysical magnetic diffusivity, the quenching is still in that sense catastrophic.

### 3.3 The $\alpha$ quenching formula

A more complete description is in terms of kinetic and magnetic  $\alpha$  effects, i.e.,

$$\alpha = \alpha_K + \alpha_M \sim \frac{\tau}{3} \left( \overline{\boldsymbol{\omega} \cdot \mathbf{u}} - \overline{\mathbf{j} \cdot \mathbf{b}} / \rho \right), \quad (14)$$

and observing the fact that the magnetic helicity evolution of averages and fluctuations is given by

$$\frac{d}{dt} \langle \overline{\mathbf{A}} \cdot \overline{\mathbf{B}} \rangle = +2 \langle \overline{\boldsymbol{\mathcal{E}}} \cdot \overline{\mathbf{B}} \rangle - 2\eta\mu_0 \langle \overline{\mathbf{J}} \cdot \overline{\mathbf{B}} \rangle, \quad (15)$$

$$\frac{d}{dt} \langle \mathbf{a} \cdot \mathbf{b} \rangle = -2 \langle \overline{\mathcal{E}} \cdot \overline{\mathbf{B}} \rangle - 2\eta\mu_0 \langle \mathbf{j} \cdot \mathbf{b} \rangle. \quad (16)$$

Equation (15) allows for the possibility that magnetic helicity can be produced by the mean electromotive force, because, in general,  $\overline{\mathcal{E}} \cdot \overline{\mathbf{B}} \equiv \overline{\mathbf{u} \times \mathbf{B}} \cdot \overline{\mathbf{B}} \neq 0$ . (By contrast, of course,  $(\mathbf{u} \times \overline{\mathbf{B}}) \cdot \overline{\mathbf{B}} = 0$ .) In particular, if  $\overline{\mathcal{E}} = \alpha \overline{\mathbf{B}} - \eta_t \mu_0 \overline{\mathbf{J}}$ , then,  $\overline{\mathcal{E}} \cdot \overline{\mathbf{B}} = \alpha \overline{\mathbf{B}}^2 - \eta_t \mu_0 \overline{\mathbf{J}} \cdot \overline{\mathbf{B}}$ , which produces positive (negative) magnetic helicity of the mean field when  $\alpha > 0$  ( $\alpha < 0$ )

Equation (16) is constructed such that the sum of Eqs. (15) and (16) yields Eq. (10). Given that  $\langle \mathbf{a} \cdot \mathbf{b} \rangle$  is related to  $\langle \mathbf{j} \cdot \mathbf{b} \rangle$ , which, in turn, is related to a magnetic contribution to the  $\alpha$  effect (Pouquet et al, 1976), Eq. (16) can be rewritten as an evolution equation for the total  $\alpha$  (Brandenburg, 2008),

$$\frac{d\alpha_M}{dt} = -2\eta_{t0} k_f^2 \left( \frac{\alpha \overline{\mathbf{B}}^2 - \eta_t \mu_0 \overline{\mathbf{J}} \cdot \overline{\mathbf{B}}}{B_{\text{eq}}^2} + \frac{\alpha_M}{\text{Re}_M} \right), \quad (17)$$

which can also be expressed in the form

$$\alpha(\overline{\mathbf{B}}) = \frac{\alpha_0 + \text{Re}_M \times \text{“extra terms”}}{1 + \text{Re}_M \overline{\mathbf{B}}^2 / B_{\text{eq}}^2} \quad (18)$$

where

$$\text{“extra terms”} = \eta_t \frac{\mu_0 \overline{\mathbf{J}} \cdot \overline{\mathbf{B}}}{B_{\text{eq}}^2} - \frac{\nabla \cdot \overline{\mathcal{F}}}{2k_f^2 B_{\text{eq}}^2} - \frac{\partial \alpha / \partial t}{2k_f^2 B_{\text{eq}}^2}. \quad (19)$$

Note that the last term is here a time derivative. Equation (18) resembles the catastrophic quenching formula of Vainshtein and Cattaneo (1992), but it also shows that it need to be extended in several important ways: when the mean field is no longer defined as a volume average, extra terms emerge that are of the same order as those in the denominator. They can therefore potentially offset the catastrophic quenching. In practice, this is only partially true, because there are also other terms, for example the aforementioned time derivative term. It is responsible for the fact that a strong field state is only reached after a resistively long time.

### 3.4 Analogy with the chiral magnetic effect

The  $\alpha$  effect in mean-field dynamo theory is an effect that emerges after averaging over the scale of several turbulent eddies. We know already that turbulent diffusion is somewhat analogous to microphysical diffusion, which also emerges after averaging, but here after averaging over atomic scales. Interestingly, even for the  $\alpha$  effect there can be an effect on atomic and subatomic scales, because fermions, such as electrons, are chiral. The spin of an electron emerging from the decay of a neutron is anti-aligned with its momentum vector, so their dot product is a negative pseudo-scalar, called the chirality. Positrons have positive chirality. In the presence of an ambient magnetic

field, the spins align, but electrons and positrons move in opposite directions, causing therefore an electric current. This constitutes a microscopic  $\alpha$  effect (Rogachevskii et al, 2017; Brandenburg et al, 2017c),

$$\alpha_{\text{micro}} \equiv \mu_5 \eta = 24 \alpha_{\text{fine}} (n_L - n_R) (\hbar c / k_B T)^2, \quad (20)$$

where  $\mu_5$  is the normalized chiral chemical potential (with units of inverse length),  $\eta$  is the microscopic magnetic diffusivity,  $\alpha_{\text{fine}} \approx 1/137$  is the fine structure constant (quantifying the strength of electromagnetic interaction between charged particles),  $n_L$  and  $n_R$  are the number densities of left- and right-handed fermions,  $\hbar \approx 10^{-27}$  erg s is the reduced Planck constant,  $c \approx 3 \times 10^{10}$  cm s<sup>-1</sup> is the speed of light,  $k_B \approx 10^{-16}$  erg K<sup>-1</sup> is the Boltzmann constant, and  $T$  is the temperature.

The applications of chiral MHD are manifold and range from condensed matter systems and heavy ion collisions to neutron stars and the early Universe; see Kharzeev (2014) for a review. Interestingly, because this microscopic  $\alpha$  effect produces helical magnetic fields, and because the total chirality is conserved (Boyarsky et al, 2012), this effect does not last forever, but is being quenched in a form analogous to the catastrophic quenching formula, which takes the form (Rogachevskii et al, 2017)

$$\frac{\partial \mu_5}{\partial t} = -\lambda \eta \left( \mu_5 \bar{\mathbf{B}}^2 - \eta_t \mu_0 \bar{\mathbf{J}} \cdot \bar{\mathbf{B}} \right) - \Gamma_{\text{flip}} \mu_5, \quad (21)$$

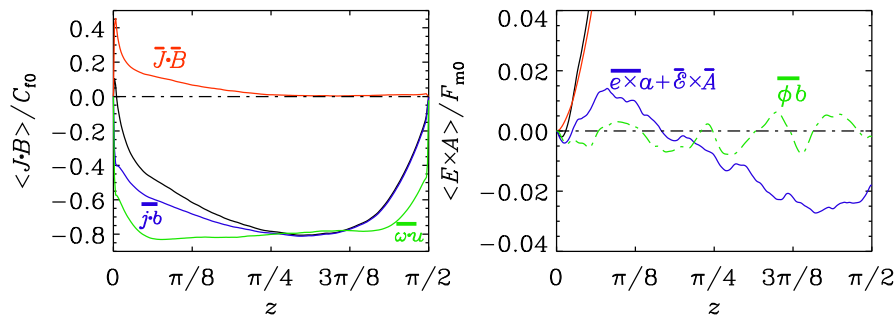
where  $\lambda$  is a coupling constant which, in the catastrophic quenching formalism, is related to  $2\eta_t k_f^2 / B_{\text{eq}}^2$ , and  $\Gamma_{\text{flip}}$  is a spin-flipping parameter, which is related to  $2\eta k_f^2$  in the catastrophic quenching formalism (see, e.g., Field and Blackman, 2002; Blackman and Brandenburg, 2002).

There is a vast range of recent work in this field, which goes well beyond the scope of the present paper. We just mention here the paper of Masada et al (2018), who studied chiral magnetohydrodynamic turbulence in core-collapse supernovae. They found that the inverse cascade related to the chiral effects impacts the magnetohydrodynamic evolution in the supernova core toward explosion.

### 3.5 Magnetic helicity fluxes and helicity reversals

Magnetic helicity fluxes could in principle remove the catastrophic quenching problem, but only if preferentially small-scale magnetic helicity is being removed (Kleeorin et al, 2000a). To see this, let us first consider the problem of an  $\alpha^2$  dynamo in insulating boundaries, i.e.,

$$\frac{d}{dt} \bar{\mathbf{A}} = \alpha \bar{\mathbf{B}} - \eta_T \mu_0 \bar{\mathbf{J}}, \quad \text{with } \partial_z \bar{A}_x = \partial_z \bar{A}_y = \bar{A}_z = 0. \quad (22)$$



**Fig. 3** Magnetic helicity, current helicity, and magnetic helicity fluxes for Run A of Brandenburg (2018) with  $\text{Re}_M = 180$ . The kinetic helicity is shown in green and is found to be of similar magnitude as the current helicity of the small-scale field. The second panel shows  $\overline{\mathbf{E} \times \mathbf{A}}$  near zero. The green line denotes  $\overline{\boldsymbol{\phi} \cdot \mathbf{b}}$ , which is seen to fluctuate around zero.

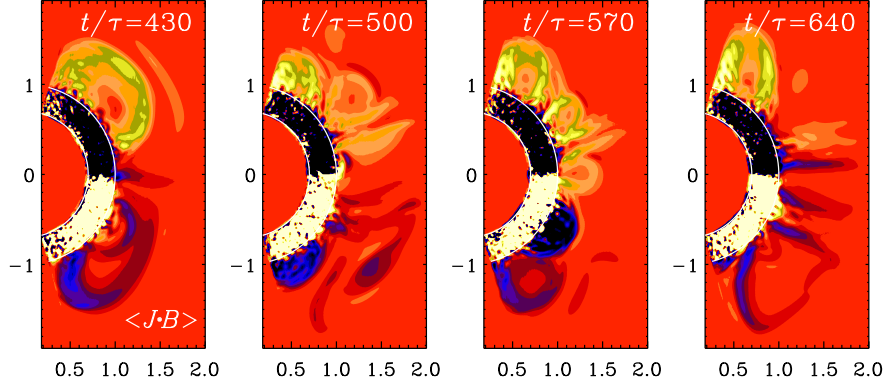
The boundary condition implies that  $\overline{B}_x = \overline{B}_y = 0$ , and is therefore also referred to as the vertical field condition. In this 1-D problem, however, this boundary condition is equivalent to a proper vacuum boundary condition.

The  $\alpha^2$  dynamo with this boundary condition was first considered by Gruzinov and Diamond (1994), who found that the saturation field strength of such a dynamo decreases with  $\text{Re}_M$ . This was later confirmed by Brandenburg and Dobler (2001). In Figure 3, we show the profiles of magnetic helicity, current helicity, and the magnetic helicity fluxes for Runs A of Brandenburg (2018) with  $\text{Re}_M = 180$ . For normalization purposes, they defined the reference values

$$C_{f0} = k_f B_{\text{eq}}^2 \quad \text{and} \quad F_{m0} = \eta_{t0} k_1^2 \int_0^{\pi/2} \overline{\mathbf{B}}^2 dz. \quad (23)$$

They emphasized that the largest contribution to the magnetic helicity density comes from the large-scale field. Near the surface ( $z = \pi/2$ ), the (negative) magnetic helicity flux from small-scale fields is only about  $0.02 F_{m0}$ , which explains why they are not efficient enough to alleviate the catastrophic dependence of the resulting mean magnetic field (Del Sordo et al, 2013; Rincon, 2021).

Subsequent simulations with an outside corona indicated that the magnetic helicity changes sign at or near the outer surface (Brandenburg et al, 2009). This was just a speculation and needs to be reconsidered with the help of global models of the type considered by Warnecke et al (2011, 2012) and Brandenburg et al (2017a). This is shown in Figure 4, where we present the line-of-sight averaged current helicity density,  $\langle \mathbf{J} \cdot \mathbf{B} \rangle$  in the plane of the sky using a simulation of Brandenburg et al (2017a). The quantity  $\langle \mathbf{J} \cdot \mathbf{B} \rangle$  is a proxy of magnetic helicity at small scales and shows clearly the reversal of sign between the dynamo interior and the exterior.



**Fig. 4** Current helicity  $\langle \mathbf{J} \cdot \mathbf{B} \rangle$  in the plane of the observer at four different times. Yellow and white shades denote positive values and blue and black shades denote negative values; adapted from Brandenburg et al (2017a).

### 3.6 Radial magnetic helicity reversal in the solar wind

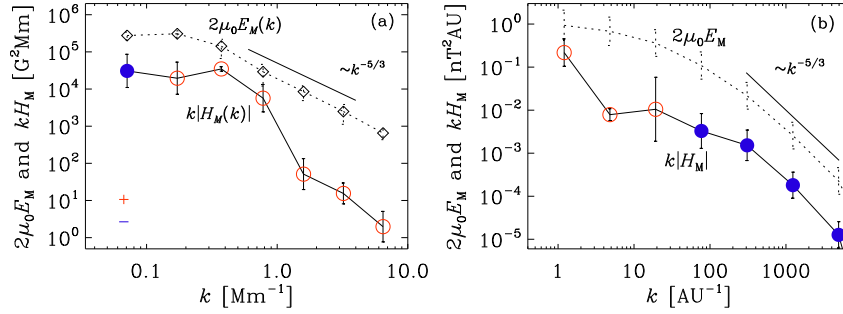
If the idea of alleviating catastrophic quenching by magnetic helicity fluxes is to make sense, when would expect to see signs of the expelled magnetic helicity to see in the solar wind. The magnetic helicity spectrum can be measured in the solar wind by determining the parity-odd contribution to the magnetic correlation tensor, which, in Fourier space, takes the form

$$\langle \tilde{\mathbf{B}}_i(\mathbf{k}) \tilde{\mathbf{B}}_j^*(\mathbf{k}) \rangle = \left( \delta_{ij} - \hat{k}_i \hat{k}_j \right) E(k) - i \hat{k}_k \epsilon_{ijk} H(k). \quad (24)$$

This would allow one to compute  $H(k_z) = \text{Im}(\tilde{\mathbf{B}}_x \tilde{\mathbf{B}}_y^*)$  and  $E(k_z) = \frac{1}{2}(|\tilde{\mathbf{B}}_x|^2 + |\tilde{\mathbf{B}}_y|^2)$ , which also obeys the realizability condition  $k_z |H(k_z)| \leq E(k_z)$ .

The Ulysses spacecraft was the only one to cover high heliographic latitudes, where a non-vanishing sign of magnetic helicity can be expected. It turned out that  $H(k)$  has, as expected from dynamo theory, different signs in the northern and southern hemispheres. It also has different signs at small and large wavenumbers. This, in itself, is also expected from an  $\alpha^2$  dynamo, because the  $\alpha$  effect produces no net magnetic helicity, but it separates magnetic helicity in wavenumber space. However, the signs are opposite to what is seen at the solar surface, where the helicity in the north is negative at small length scales. In the solar wind, however, it is positive in the north and at small scales. Of course, the meaning of small is here relative and has to be with respect to larger scales, where a sign change in  $k$  has been seen. If one just assumed a linear expansion of all scales from the solar surface (radius  $r = 700 \text{ Mm}$ , to the location of the Earth at 1 AU, we expect a corresponding expansion ratio so that a wavenumber of  $1 \text{ Mm}^{-1}$  corresponds to  $1/700 \text{ AU}^{-1}$ . In particular,  $20 \text{ Mm}^{-1}$  corresponds to  $2/70 \text{ AU}^{-1}$ , which is close to the wavenumber





**Fig. 5** Magnetic energy and magnetic helicity spectra for southern latitudes (a) at the solar surface in active region AR 11158, and (b) in the solar wind at  $\sim 1$  AU distance ( $1 \text{ AU} \approx 149,600 \text{ Mm}$ ). Positive (negative) signs are shown as red open (blue filled) symbols. Positive signs are the solar surface at intermediate and large  $k$  correspond to positive values in the solar wind at small  $k$ . Note that  $1 \text{ G} = 10^{-4} \text{ T} = 10^5 \text{ nT}$ .

where we see a sign-change in Figure 5. It is unexpected, however, that at the solar surface (Figure 5b), the sign in the northern hemisphere changes from minus to plus as  $k$  increases, while in the solar wind, it changes from plus to minus. This apparent mismatch may not just be a measurement error, but it may actually be a real result and would tell us that the simpleminded picture of expelling magnetic helicity of one sign all the way to infinity may not be accurate.

Looking at the evolution equation for the small scale magnetic helicity, we have

$$\nabla \cdot \overline{\mathcal{F}_f} = \underbrace{-2\alpha \overline{\mathbf{B}^2} + 2\eta_t \mu_0 \overline{\mathbf{J} \cdot \mathbf{B}}}_{-2\overline{\mathcal{E} \cdot \mathbf{B}}} - 2\eta \mu_0 \overline{\mathbf{j} \cdot \mathbf{b}}. \quad (25)$$

In the dynamo interior at the northern hemisphere,  $\alpha > 0$ , and, assuming  $\alpha \mathbf{B}^2$  to dominate the EMF, we expect  $-2\overline{\mathcal{E} \cdot \mathbf{B}}$  to be negative. However, a negative flux divergence of a negative quantity would eventually make this quantity positive, which is what has been observed.

Whether or not this is really the right interpretation remains still an open question. It would clearly be useful to have an independent assessment of this interpretation.

#### 4 Alternative large-scale dynamo effects

Given the difficulties encountered with  $\alpha$  effect dynamos, there have been various attempts to construct large-scale dynamos that are not based on the  $\alpha$  effect. A common misconception here is that the idea that catastrophic quenching would not apply if just because there is no  $\alpha$  effect, but it is not true. An  $\alpha_M$  term can always emerge regardless of whether they existed original an  $\alpha$  effect or not. An example

is shear–current effect. It is due to the presence of shear and boundaries that a helicity can be introduced. Shear of the form  $\bar{\mathbf{U}} = (0, Sx, 0)$  implies a finite vorticity,  $\nabla \times \bar{\mathbf{U}} = (0, 0, S)$  and boundaries would lead to a gradient vector of turbulent intensity near the boundaries. Thus, while there can be hope that catastrophic quenching may not be as strong, this may turn out not to be the case. An example of this was presented in Brandenburg and Subramanian (2005c).

#### 4.1 Rädler and shear–current effects

The Rädler effect is another large-scale dynamo effect (Rädler, 1969). In the simplest representation it leads to an EMF proportional to  $\boldsymbol{\Omega} \times \bar{\mathbf{J}}$ . It is similar to the shear–current effect. In this case it cannot change the magnetic energy of the mean field. Indeed, the energy equation for the mean field is given by

$$\frac{d}{dt} \langle \bar{\mathbf{B}}^2 / 2 \rangle = \underbrace{\bar{\mathbf{J}} \cdot (\boldsymbol{\Omega} \times \bar{\mathbf{J}})}_{=0} + \underbrace{\langle \nabla \cdot [(\boldsymbol{\Omega} \times \bar{\mathbf{J}}) \times \bar{\mathbf{B}}] \rangle}_{=0 \text{ under periodicity}} \quad (26)$$

In the general case, the generation effects due to global rotation and mean currents can be written as follows (see Krause and Rädler, 1980; Kitchatinov et al, 1994; Rädler et al, 2003; Pipin, 2008):

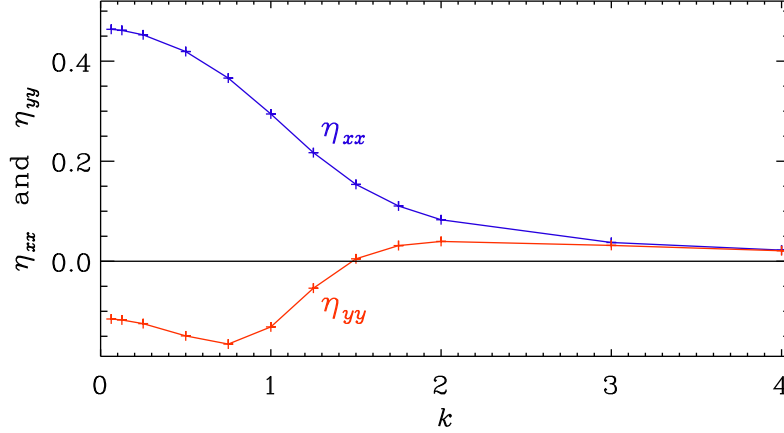
$$\bar{\mathcal{E}}^{(\delta)} = \delta_1 \boldsymbol{\Omega} \times \bar{\mathbf{J}} + \delta_2 \nabla (\boldsymbol{\Omega} \cdot \bar{\mathbf{B}}) + \delta_3 \frac{\boldsymbol{\Omega} (\boldsymbol{\Omega} \cdot \bar{\mathbf{B}})}{\Omega^2} \nabla (\boldsymbol{\Omega} \cdot \bar{\mathbf{B}}), \quad (27)$$

where the coefficients  $\delta_{1,2,3}$  depend on the spatial profiles of the turbulent parameters such as the typical convective turnover time, the convective velocity  $u_{\text{rms}}$ , etc. The last two terms in this equation may lead to an  $\delta^2$  dynamo (Pipin and Seehafer, 2009). For the solar case, the  $\delta$  effect can provide an additional non-helical source of poloidal magnetic field generation. Interestingly, Pipin and Seehafer (2009) found that for the solar-type dynamos, i.e., those with equatorward propagation of the dynamo waves, the  $\delta$  dynamo effect does not dominate the contributions of the  $\alpha$ -effect. We will discuss the available scenario in the next section.

#### 4.2 Dynamos from negative turbulent magnetic diffusivity

There are two other effects that are noteworthy, although it is not clear that either of them can play a role in stellar convection zones. One is the negative turbulent magnetic diffusivity and the other is the memory effect in conjunction with a pumping effect.

When modeling a negative turbulent magnetic diffusivity dynamo, high wavenumbers must not be destabilized at the same time. Brandenburg and Chen (2020) studied classes of dynamos with a very low critical  $\text{Re}_M$ . The Willis dynamo (Willis, 2012) has a critical  $\text{Re}_M$  of 2.01, which is small compared to 6.3 for the Roberts flow and 17.9 for the ABC flow. In this dynamo, one of the two horizontally averaged field components grows exponentially, because the total magnetic diffusivity in that direction is negative (Brandenburg and Chen, 2020). The other component decays and is not coupled to the former one.



**Fig. 6** Dependence of  $\tilde{\eta}_{xx}$  (red) and  $\tilde{\eta}_{yy}$  (blue) on  $k$  for the Willis flow in the marginally exited case with  $\eta = 0.403$ . The dashed line denotes the fit  $-0.233 + 0.11 k^2$ . Adapted from Brandenburg and Chen (2020).

As we see from Figure 6,  $\eta_i$  is negative only for  $k \lesssim 1.5$ . The  $k$  dependence of the turbulent magnetic diffusivity can be expanded up to second order as

$$\tilde{\eta}_{yy}(k) = \tilde{\eta}_{yy}^{(0)} + \tilde{\eta}_{yy}^{(2)} k^2 + \dots, \quad (28)$$

where the tildes indicate Fourier transformed quantities. In the proximity of  $k = 1$ , which corresponds to the largest scale in the computational domain of  $2\pi$ , we have  $\tilde{\eta}_{yy}^{(0)} \approx -0.233$  and  $\tilde{\eta}_{yy}^{(2)} \approx 0.11$ . In addition, there is still the microphysical magnetic diffusivity, which is positive ( $\eta = 0.403$ ). To a first approximation, one can just consider the equation for  $\bar{A}_{yy}$ , which can then be written as

$$\frac{\partial \bar{A}_{yy}}{\partial t} = \left[ \eta + \tilde{\eta}_{yy}^{(0)} \right] \frac{\partial^2 \bar{A}_{yy}}{\partial z^2} - \tilde{\eta}_{yy}^{(2)} \frac{\partial^4 \bar{A}_{yy}}{\partial z^4}. \quad (29)$$

We recall that the minus sign in front of the fourth derivative corresponds to positive diffusion if  $\tilde{\eta}_{yy}^{(2)}$  is positive, and so does the plus sign in front of the second derivative,

unless the term in squared brackets is negative, which is the case we are considering here.

### 4.3 *Dynamos from pumping and memory effects*

Pumping effects alone cannot usually lead to interesting dynamo effects, unless there is also a memory effect. This effect means that the mean electromotive force depends not just on the instantaneous mean magnetic field at that time, but also on the mean magnetic field at earlier times. It is therefore described as a convolution between a pumping kernel and the mean magnetic field. This can lead to dynamo action, as has been demonstrated by Rheinhardt et al (2014) for the case of one of four flow fields studied by Roberts (1972).

The example of Roberts flow III may be peculiar, because there is so far no other known example of a flow where pumping produces a memory effect that is strong enough to lead to dynamo action. This is mostly because the computational tools for determining the memory effect are not broadly used by the community. Indeed, it was only with the development of the test-field method (Schrinner et al, 2005, 2007) that the importance of the memory effect was noticed (Hubbard and Brandenburg, 2009) and applied to pumping.

The dispersion relation for a problem with turbulent pumping  $\gamma$  and turbulent magnetic diffusion  $\eta_t$  is given by  $\lambda = -ik\gamma - \eta_t k^2$ . Since  $\text{Re}\lambda < 0$ , the solution can only decay, but it is oscillating with the frequency  $\omega = \text{Im}\lambda = \gamma$ . In the presence of a memory effect,  $\gamma$  is replaced by  $\gamma/(1 - i\omega\tau)$ , where  $\tau$  is the memory time. Then,  $\lambda \approx -ik\gamma(1 - i\omega\tau) - \eta_t k^2$ , and  $\text{Re}\lambda$  can be positive if total. This is the case for the Roberts flow.

We return to nonlocality and memory effects further below in this article when we discuss concrete solar models; see Pipin (2023). One of the most obvious consequences of the memory effect is a lowering of the critical excitation conditions for the dynamo, which was already reported by Rheinhardt and Brandenburg (2012). Interestingly, for the nonlocal mean electromotive force, the lowering of the critical threshold can be accompanied by multiple instabilities of different dynamo modes that have different frequencies and spatial localization; see Pipin (2023).

### 4.4 *Dynamos from cross-helicity*

An alignment of velocity and magnetic field, i.e., cross helicity, plays a key role in numerous processes and phenomena of astrophysical plasmas. Krause and Rädler (1980) showed that the saturation stage of the turbulent generation is characterized by an alignment of the turbulent convective velocity and the magnetic field. This consideration does not account for the effects of cross-helicity that take place in the strongly stratified subsurface layers of the stellar convective envelope. For example,

the direct numerical simulations of Matthaeus et al (2008) showed a directional alignment of velocity and magnetic field fluctuations in the presence of gradients of either pressure or kinetic energy.

The mean electromotive force in this case is along to the mean vorticity,

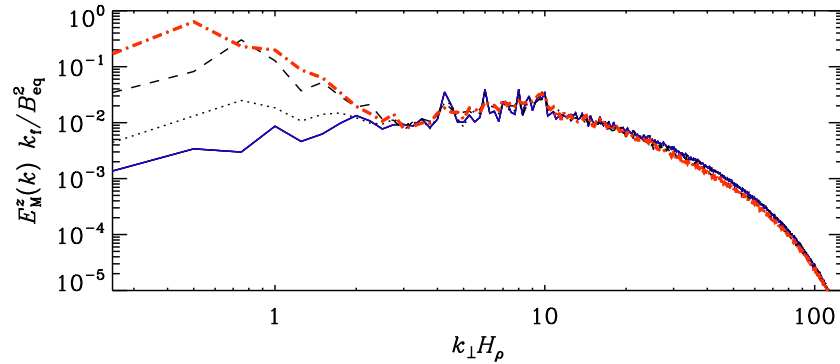
$$\overline{\mathcal{E}}^{\Upsilon} = \Upsilon \nabla \times \overline{\mathbf{U}} + \dots, \quad (30)$$

where,  $\Upsilon = \tau_c \langle \mathbf{u} \cdot \mathbf{b} \rangle$  is the cross helicity pseudoscalar, and  $\tau_c$  is the turbulent turnover time. Dynamo scenarios based on cross helicity have been suggested in a number of papers (Yoshizawa and Yokoi, 1993; Yoshizawa et al, 2000; Yokoi, 2013). Pipin and Yokoi (2018) showed that the large-scale dynamo instability does not require the existence of a global axisymmetric mean. The mix of axisymmetric and nonaxisymmetric magnetic fields can be produced even in the case  $\overline{\Upsilon} = 0$ , where the overbar means the azimuthal averaging. The surface magnetic field of the Sun and other similar stars tends to be organized in sunspots, plagues, ephemeral regions, super-granular magnetic network, etc. These structures tend to demonstrate the alignment of local velocity and magnetic fields Rüdiger et al (2011). Therefore, the cross helicity dynamo instability can contribute to dynamo generation effects that operate near the stellar surface. Stellar observations, for example the results of Katsova et al (2021), require such dynamo effects to be working in situ at the stellar surface. The solar analogs show an increase of the spottiness with an increase of the rotation rate (Berdyugina, 2005). In this case, cross helicity dynamo effects can be considered as a relevant addition to the standard turbulent generation by means of convective helical motions. Rapidly rotating M-dwarfs show the highest level of the magnetic activity (Kochukhov, 2021). There is a population of rapidly rotating M-dwarfs that show a rather strong dipole type magnetic field. These stars show a rather small level of differential rotation. For solid body rotation, an  $\alpha^2$  dynamo generates nonaxisymmetric magnetic field Chabrier and Küker (2006); Elstner and Rüdiger (2007). At high rotation rates, the  $\alpha$  effect is highly anisotropic Ruediger and Kichatinov (1993). It cannot employ the component of the large-scale magnetic field along the rotation axis for the generation of an axial electromotive force. Results of Pipin and Yokoi (2018) show that the  $\alpha^2 \Upsilon^2$  scenario can produce a strong constant dipole magnetic field. The model predicts the existence of large-scale cross helicity patterns occupying the stellar surface. We hope that this can be tested either in observations or in global convective simulations.

The nonlinear theory for the cross helicity effect is not yet developed. Sur and Brandenburg (2009) showed that the turbulent generation due to  $\Upsilon$  is quenched by the large scale vorticity in a way that is similar to catastrophic quenching given by Eq. (18), i.e.,

$$\Upsilon \sim \frac{1}{1 + \text{Re}_M \tau_c^2 (\nabla \times \overline{\mathbf{U}})^2} \quad (31)$$

One should remember that for its initialization of the cross-helicity dynamo instability we have to seed both the cross helicity and the magnetic field. The solar type models scenarios based on cross helicity require an  $\alpha$  effect, which produces poloidal magnetic field and cross helicity at the top of the dynamo domain (Yokoi et al, 2016).



**Fig. 7** Normalized spectra of  $B_z$  from a simulation of MHD turbulence with strong gravity at turbulent diffusive times  $t\eta_i/H_\rho^2 \approx 0.2, 0.5, 1,$  and  $2.7$  with  $k_f H_\rho = 10$  and  $k_i H_\rho = 0.25$ . Adapted from Brandenburg et al (2014).

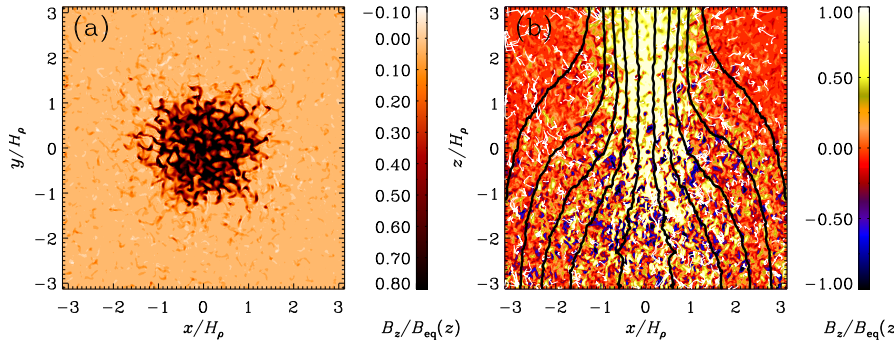
Given that cross helicity is an ideal invariant of the MHD equations, it is natural to ask whether systems with strong cross helicity exhibit inverse cascading. The answer seems to be yes; see (Brandenburg et al, 2014). In Figure 7 we show the gradual build-up of magnetic fields in the vertical direction when the system has significant cross helicity owing to the presence of a magnetic field along the direction of gravity (Rüdiger et al, 2011).

#### 4.5 Origin of sunspots and active regions

An important goal in solar dynamo theory is to compute synthetic butterfly diagrams. The question then emerges from which depth to take the mean toroidal field, for example. The usual argument here is to invoke Parker's theory of sunspot formation and to postulate that the field at some depth translates directly to one at the surface. This is critical because the final result depends on the assumed depth.

It is possible that sunspots are not deeply rooted, but are actually a surface phenomenon. No successful and self-consistent model of shallow formation of active regions or sunspots exists as yet. Noteworthy in this context is the negative effective magnetic pressure instability (NEMPI), which is a mean-field theory of the Reynolds and Maxwell stresses. This theory is extremely successful in that its results agree remarkably well with direct numerical simulations (DNS).<sup>1</sup> The problem is only that the effect is not strong enough to make real sunspots or active regions. Because

<sup>1</sup> DNS means that viscous and diffusive operators are assumed to be the physical ones, but with coefficients that are enhanced relative to the physical ones, but as small as possible. Large eddy simulations (LES) or implicit LES, by contrast, use just numerical schemes to keep the code stable. Such schemes are often too complicated to state them as an explicit term in the equations, as if they are negligible, but they never are.



**Fig. 8** Cuts of  $B_z/B_{\text{eq}}(z)$  in the  $xy$  plane at the top boundary ( $z/H_p = \pi$ ) and the  $xz$  plane through the middle of the spot at  $y = 0$ . In the  $xz$  cut, we also show magnetic field lines and flow vectors obtained by numerically averaging in azimuth around the spot axis. Adapted from Brandenburg et al (2013).

of this remarkable agreement between theory and simulations, and because it is an important mean-field process, we shall discuss here a bit more detail.

The essence of the effect is the contribution of the turbulent hydromagnetic pressure to the horizontal force balance. The turbulent pressure is a small-scale effect, but it reacts to the large-scale magnetic field. As the magnetic field increases, it suppresses the turbulence locally, disturbing therefore the horizontal force balance. Although this large-scale magnetic field itself contributes with its own magnetic pressure to the horizontal force balance, the effect from the suppression of the turbulence is often stronger, so the net effect is a negative one. This is why the mean-field effect from a large-scale magnetic field is a negative effective magnetic pressure. This idea goes back to early work of Kleorin et al (1989, 1996), who developed the mean-field theory for this effect.

In the beginning, it was not clear what kind of numerical experiments one could try to test the a negative effective magnetic pressure effect. The first mean-field simulations were done with a uniform horizontal magnetic field (Brandenburg et al, 2010). This led to the development of magnetic flux concentrations near the surface, but those began to sink downward as time went on. A similar effect was soon also seen in DNS (Brandenburg et al, 2011). The sinking of such structures was explained by the *negative* effective magnetic pressure: a positive magnetic pressure would lead to the rise of structures (Parker, 1967) while a negative one leads to a sinking. The sinking of magnetic structures had the side effect that the structures disappeared from the surface and became less prominent.

Subsequent experiments with a vertical field had a more dramatic effect on the general appearance of structures. Because the ambient field was vertical, the downflow had little effect on the magnetic flux concentrations themselves (Brandenburg et al, 2013). Figure 8 shows the spontaneous development of a magnetic spot.

Most of the numerical experiments were done with forced turbulence, where one had explicit control over the degree of scale separation. This is not the case

in convection, where the development of magnetic structures takes different shapes (Stein and Nordlund, 2012; Masada and Sano, 2016; Käpylä et al, 2016b).

## 5 Mean-field dynamo models

The first mean-field model was constructed by Parker (1955). In his scenario the toroidal magnetic field is generated from the dipole field by the nonuniform rotation. To overcome restrictions of the Cowling's theorem (Cowling, 1933), Parker suggested that the dipole magnetic field can be regenerated by cyclonic convective motions which transform emerging toroidal magnetic loops into poloidal magnetic field. The coalescing loops can amplify in the dipole magnetic field. Studying the combing action of the differential rotation and cyclonic motions he found a solution in form of the dynamo wave and formulated conditions for the equatorward propagation of the dynamo waves. Steenbeck et al (1966) and Steenbeck and Krause (1969) constructed the theoretical basis of the mean-field theory, introduced the notion of the mean electromotive force (MEMF) of the turbulence [see Eq. (2)] and showed that the Parker's effect of the cyclonic convective motions is an equivalent to the effective MEMF along the large-scale field. The 1970s can be considered the golden years of mean-field dynamo theory. Schuessler (1983) stated: "dynamo theory reached the textbook state", mentioning the famous monographs by Moffatt (1978), Parker (1979), Krause and Rädler (1980), and Vainshtein et al (1980).

Indeed, the intensive theoretical and observational studies led to establishment of the basic solar dynamo scenarios, identification the key dynamo parameters and formation of general paradigm about nature of the solar and stellar magnetism.

Schuessler (1983) summarized that the mean-field dynamo models can reproduce the "physics of solar activity to a great extent" including:

- the Hale polarity rule of sunspots groups
- the time-latitude evolution of the sunspot activity ("butterfly diagram")
- reversals of the polar magnetic field
- the phase relationship between evolution of the poloidal and toroidal magnetic field and their consistence with the butterfly diagram (Stix, 1976)
- rigid rotation of magnetic sector structure and coronal holes (Stix, 1974, 1977)
- chaotic variations of the dynamo activity as due to the random  $\alpha$  effect and the dynamo nonlinearity because of the Lorentz force (Leighton, 1969; Yoshimura, 1978; Ruzmaikin, 1981)
- first models scenarios of the solar torsional oscillations (Schuessler, 1981; Yoshimura, 1981)

We have to note that the first and second items are based on assumption the sunspot groups are formed from the large-scale toroidal magnetic field. Already that time it was well realized and acknowledged that the mean-field models needs to take into account the fibril state of the magnetic field which we observed on the solar surface. We return to this point later.



The classical mean-field dynamo models utilize  $\alpha\Omega$  scenario using the differential rotation ( $\Omega$  effect) as the source of the toroidal magnetic flux production and the  $\alpha$  effect for the poloidal magnetic field generation. In general, the  $\alpha$  effect, as well as any other turbulent generation effect, including  $\delta$  effect (Rädler, 1969), shear-current effect (Kleeorin et al, 2000b) and the cross-helicity effect (Yokoi, 2013) can generate both the toroidal and poloidal magnetic fields. Therefore there can be a number of possibility for the solar-types dynamo models Krause and Rädler (1980); Yokoi et al (2016); Pipin and Kosovichev (2018). Some of them, e.g., skip the  $\alpha$  effect at all. For example, Seehafer and Pipin (2009) studied  $\delta^\Omega\Omega$  and  $\delta^W\Omega$  scenarios, where turbulent generation of the poloidal magnetic field is due to  $\mathbf{\Omega} \times \bar{\mathbf{J}}$  and shear-current effect, respectively. These scenarios show oscillating solution and correct time-latitude diagram of toroidal magnetic field if the meridional circulation is included. Similar possibility was mentioned earlier by Krause and Rädler (1980) for  $\delta\Omega$  scenario. However, the given scenarios result to incorrect phase relation between activity of the toroidal and poloidal magnetic field. The aim to search for the  $\alpha$  effect alternatives pursues double benefits. Firstly, the nonhelical source of dynamo generations avoid the above mentioned catastrophic quenching problem. This issue is less important currently. Secondly, and it was already mentioned earlier by Köhler (1973) as well as Steenbeck and Krause (1969) the mixing length estimate of the  $\alpha$  effect for the solar convection zone parameters results in a very strong  $\alpha$  effect with a magnitude as strong as the convective velocity rms. Solar observations of the ratio between the typical strength of the toroidal and poloidal fields and the solar cycle period, favor an order of magnitude smaller  $\alpha$  effect. In addition, the turbulent generation sources in the  $\alpha\Omega$  scenario help reduce the given constraints. We must stress that the global convection dynamo simulations of Schrunner (2011), Schrunner et al (2011), and Warnecke et al (2021) showed that the mean-field models need a full spectrum of turbulent effects to describe DNS.

In the case of the solar-like star, i.e., with the solar-like stratification, differential rotation, and meridional circulation profiles, the turbulent sources of the poloidal magnetic field generation due to  $\delta$ , shear-current and cross-helicity effects are likely complimentary to the  $\alpha$  effect.

We thus arrive at the conclusion that the  $\alpha^2\Omega$  dynamo is, probably, the simplest scenario for the solar dynamo. Also, this scenario seems to fit well in observations of stellar activity of young solar-type stars.

## 5.1 Basic model

We discuss some results of the state of art mean-field dynamo model of the solar dynamo developed recently by Pipin and Kosovichev (2019). The magnetic field evolution is governed by the mean-field induction equation:

$$\frac{\partial \bar{\mathbf{B}}}{\partial t} = \nabla \times \left( \bar{\mathcal{E}} + \bar{\mathbf{U}} \times \bar{\mathbf{B}} - \eta \mu_0 \bar{\mathbf{J}} \right). \quad (32)$$

The expression for the components of  $\bar{\mathcal{E}}$  reads as follows,

$$\bar{\mathcal{E}}_i = (\alpha_{ij} + \gamma_{ij}) \bar{B}_j - \eta_{ijk} \nabla_j \bar{B}_k. \quad (33)$$

Here,  $\alpha_{ij}$  describes the turbulent generation by the  $\alpha$  effect,  $\gamma_{ij}$  represents turbulent pumping, and  $\eta_{ijk}$  is the eddy magnetic diffusivity tensor. The  $\alpha$  effect tensor includes the small-scale magnetic helicity density contribution, i.e., the pseudoscalar  $\langle \mathbf{a} \cdot \mathbf{b} \rangle$ ,

$$\alpha_{ij} = C_\alpha \psi_\alpha(\beta) \alpha_{ij}^K + \alpha_{ij}^M \psi_\alpha(\beta) \frac{\langle \mathbf{a} \cdot \mathbf{b} \rangle \tau_c}{4\pi \bar{\rho} \ell_c^2}, \quad (34)$$

where  $C_\alpha$  is the dynamo parameter characterizing the magnitude of the kinetic  $\alpha$  effect, and  $\alpha_{ij}^K$  and  $\alpha_{ij}^M$  are the anisotropic versions of the kinetic and magnetic  $\alpha$  effects, as described in PK19. The radial profiles of the  $\alpha_{ij}^{(H)}$  and  $\alpha_{ij}^{(M)}$  depend on the mean density stratification, profile of the convective velocity  $u_{\text{rms}}$  and on the Coriolis number,

$$\text{Co} = 2\Omega_0 \tau_c, \quad (35)$$

where  $\Omega_0$  is the global angular velocity of the star and  $\tau_c$  is the convective turnover time. The magnetic quenching function  $\psi_\alpha(\beta)$  depends on the parameter  $\beta = |\bar{\mathbf{B}}|/(\sqrt{4\pi\bar{\rho}}u_{\text{rms}})$ . In this model the magnetic helicity is governed by the global conservation law for the total magnetic helicity,  $\langle \mathbf{A} \cdot \mathbf{B} \rangle = \langle \mathbf{a} \cdot \mathbf{b} \rangle + \bar{\mathbf{A}} \cdot \bar{\mathbf{B}}$  (see Hubbard and Brandenburg, 2012; Pipin et al, 2013):

$$\left( \frac{\partial}{\partial t} + \bar{\mathbf{U}} \cdot \nabla \right) \langle \mathbf{A} \cdot \mathbf{B} \rangle = -\frac{\langle \mathbf{a} \cdot \mathbf{b} \rangle}{\text{Re}_M \tau_c} - 2\eta \bar{\mathbf{B}} \cdot \bar{\mathbf{J}} - \nabla \cdot \bar{\mathcal{F}}, \quad (36)$$

where we have used  $2\eta \langle \mathbf{j} \cdot \mathbf{b} \rangle = \langle \mathbf{a} \cdot \mathbf{b} \rangle / \text{Re}_M \tau_c$  (Kleeorin and Rogachevskii, 1999). Also, we have introduced the diffusive flux of the small-scale magnetic helicity density,  $\mathcal{F}^\chi = -\eta_\chi \nabla \langle \mathbf{a} \cdot \mathbf{b} \rangle$ , and  $\text{Re}_M$  is the magnetic Reynolds number, we employ  $\text{Re}_M = 10^6$ . Following results of Mitra et al (2010a) we put  $\eta_\chi = \frac{1}{10} \eta_T$ . Here, the turbulent fluxes of the magnetic helicity are approximated by the only term which is related to the diffusive flux. Besides the diffusive helicity flux, the other turbulent fluxes of the magnetic helicity can be important for the nonlinear dynamo regimes and the catastrophic quenching problem (Kleeorin et al, 2000b; Vishniac and Cho, 2001; Pipin, 2008; Chatterjee et al, 2011; Brandenburg and Subramanian, 2005a; Kleeorin and Rogachevskii, 2022; Gopalakrishnan and Subramanian, 2023). The relative importance of the different kind helicity fluxes for the dynamo should be studied further.

The above ansatz of the helicity evolution differs from that given by Eq. (16); see also papers by Kleeorin and Ruzmaikin (1982); Kleeorin and Rogachevskii (1999). Hubbard and Brandenburg (2012) had been studying the magnetic helicity evolution for the shearing dynamos. They found that employing Eq. (16) in the dynamo problem can result in nonphysical fluxes of magnetic helicity over spatial scales. For this ansatz given by Eq. (16), the nonlinear dynamo models can show the sharp magnetic structures inside the dynamo model domain. Such structures are

connected with concentrations of the magnetic helicity; see, e.g., Chatterjee et al (2011) and Brandenburg and Chatterjee (2018). Even a strong diffusive helicity flux does not seem to correct those irrelevant features from the numerical solution. The technical point is that the helicity fluxes, which are involved in Eq. (16), should be consistent with the turbulent effects involved in the mean electromotive force, e.g., the rotationally induced anisotropy of the  $\alpha$  effect, the magnetic eddy diffusivity, etc. Such calculation are currently absent. Also, we have to take into account the modulation of the magnetic helicity density by the magnetic activity. On the other hand, with the magnetic helicity evolution equation Eq. (36), Pipin et al (2013) found that magnetic helicity density follows the large-scale dynamo wave. This alleviates the catastrophic quenching of the  $\alpha$  effect. They showed that if we write the Eq. (36) in the form of Eq. (16), we get an additional helicity flux due to the global dynamo. Rewriting Eq. (36) in the form of Eq. (16) we get

$$\frac{\partial \langle \mathbf{a} \cdot \mathbf{b} \rangle}{\partial t} = -2 \left( \overline{\mathcal{E}} \cdot \overline{\mathbf{B}} \right) - \frac{\langle \mathbf{a} \cdot \mathbf{b} \rangle}{\text{Re}_M \tau_c} + \nabla \cdot (\eta_\chi \nabla \langle \mathbf{a} \cdot \mathbf{b} \rangle) - \eta \overline{\mathbf{B}} \cdot \overline{\mathbf{J}} - \nabla \cdot \left( \overline{\mathcal{E}} \times \overline{\mathbf{A}} \right) + \dots, \quad (37)$$

where  $\dots$  includes additional helicity transport terms due to the large-scale flow. The term  $\left( \overline{\mathcal{E}} \times \overline{\mathbf{A}} \right)$  consists of the counterparts of the sources magnetic helicity, which are represented by  $-2 \overline{\mathcal{E}} \cdot \overline{\mathbf{B}}$ , and the fluxes which result from pumping of the large-scale magnetic fields. The sources magnetic helicity in the term  $-2 \left( \overline{\mathcal{E}} \cdot \overline{\mathbf{B}} \right)$  are partly compensated in Eq. (37) by the counterparts in  $\left( \overline{\mathcal{E}} \times \overline{\mathbf{A}} \right)$ . This results in the spatially homogeneous quenching of the large-scale magnetic generation and alleviation of the catastrophic quenching problem. The effect of  $\left( \overline{\mathcal{E}} \times \overline{\mathbf{A}} \right)$  was not unambiguously confirmed in DNS because of limited numerical resolution; see Del Sordo et al (2013) and Brandenburg (2018).

The turbulent pumping, which is expressed by the antisymmetric tensor  $\gamma_{ij}$ . The tuning of  $\gamma_{ij}$  for the solar-type mean-field dynamo model was discussed by Pipin (2018). We define it as follows,

$$\gamma_{ij} = \gamma_{ij}^{(\Lambda\rho)} + \frac{\alpha_{\text{MLT}} u_{\text{rms}}}{\gamma} \mathcal{H}(\beta) \hat{\mathbf{r}}_n \varepsilon_{\text{inj}}, \quad (38)$$

$$\gamma_{ij}^{(\Lambda\rho)} = 3\nu_T f_1^{(a)} \left\{ \left( \boldsymbol{\Omega} \cdot \boldsymbol{\Lambda}^{(\rho)} \right) \frac{\Omega_n}{\Omega^2} \varepsilon_{\text{inj}} - \frac{\Omega_j}{\Omega^2} \varepsilon_{\text{inm}} \Omega_n \Lambda_m^{(\rho)} \right\} \quad (39)$$

where  $\boldsymbol{\Lambda}^{(\rho)} = \nabla \log \bar{\rho}$ ,  $\alpha_{\text{MLT}} = 1.9$  is the mixing-length theory parameter,  $\gamma$  is the adiabatic law constant. In Eq. (38), the first term takes into account the mean drift of large-scale field due the gradient of the mean density, and the second one does the same for the mean-field magnetic buoyancy effect. The function  $\mathcal{H}(\beta)$  takes into account the effect of the magnetic tensions. It is  $\mathcal{H}(\beta) \sim \beta^2$  for the small  $\beta$  and it saturates as  $\beta^{-2}$  for  $\beta \gg 1$ ; see P22.

We employ an anisotropic diffusion tensor following the formulation of Pipin (2008) (hereafter, P08):

$$\eta_{ijk} = 3\eta_T \left\{ \left( 2f_1^{(a)} - f_2^{(d)} \right) \varepsilon_{ijk} + 2f_1^{(a)} \frac{\Omega_i \Omega_n}{\Omega^2} \varepsilon_{jnk} \right\}, \quad (40)$$

where functions  $f_{1,2}^{(a,d)}$  ( $\Omega^*$ ) are determined in P08. Analytical calculations of  $\bar{\mathcal{E}}$  in the above cited paper includes effects of the small scale dynamo. In the above expressions of the  $\bar{\mathcal{E}}$  we assume an equipartition condition between kinetic energy of the turbulence and magnetic fluctuations which stem from the small-scale dynamo. It was found that for the case of slow rotation ( $Co \ll 1$ ), the part of  $\bar{\mathcal{E}}$  that depends on the gradients of  $\bar{\mathbf{B}}$  consists of an isotropic eddy diffusivity and Rädler's  $\boldsymbol{\Omega} \times \bar{\mathbf{J}}$  effect due to the small-scale dynamo (see also Rädler et al, 2003). In the case of rapid rotation, the fluctuating magnetic fields from the small-scale dynamo contribute both to isotropic and anisotropic parts of the diffusivity. The effect appears already in the terms of order  $\Omega^2$  in the global rotation rate (Rädler et al, 2003). In particular, the part of emf which corresponds to Eq(40) can be written as follows,

$$\bar{\mathcal{E}}^n = -3\eta_T \left( 2f_1^{(a)} - f_2^{(d)} \right) \bar{\mathbf{J}} + 6\eta_T f_1^{(a)} \boldsymbol{\Omega} \frac{\bar{\mathbf{J}} \cdot \boldsymbol{\Omega}}{\Omega^2}. \quad (41)$$

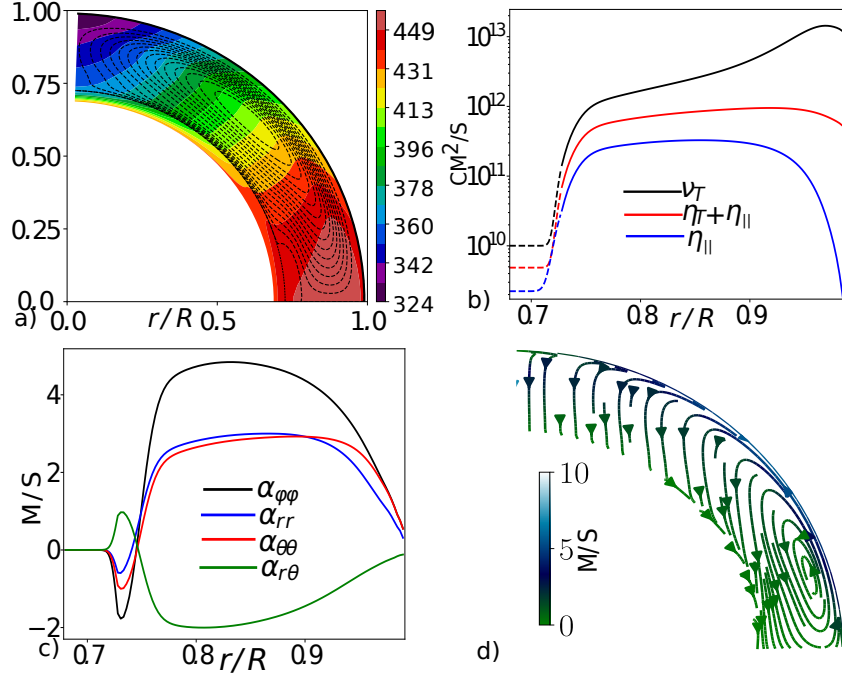
It is noteworthy that the full expression of  $\bar{\mathcal{E}}$  obtained in P08 is complicated and includes different other contributions due to effects of global rotation  $\boldsymbol{\Omega}$ , mean shear, mean current,  $\bar{\mathbf{J}}$ , and the magnetic deformation tensor ( $\nabla \bar{\mathbf{B}}$ ). We skip them in the application to the solar dynamo model. The analytical results about the relations of the specific effects of the  $\bar{\mathcal{E}}$  and the global rotation rate show a qualitative agreement with the DNS of Käpylä et al (2009a); Brandenburg et al (2012). Yet, a detailed comparison of the analytical results and the global convective simulations is needed; for further discussions, see Sect. 7.

We assume that the large-scale flow is axisymmetric. It is decomposed into sum of the meridional circulation and differential rotation,  $\bar{\mathbf{U}} = \bar{\mathbf{U}}^m + r \sin \theta \Omega(r, \theta) \hat{\boldsymbol{\phi}}$ , where  $r$  is the radial coordinate,  $\theta$  is the polar angle,  $\hat{\boldsymbol{\phi}}$  is the unit vector in azimuthal direction, and  $\Omega(r, \theta)$  is the angular velocity profile. The angular momentum conservation and the equation for the azimuthal component of large-scale vorticity,  $\bar{\omega} = (\nabla \times \bar{\mathbf{U}}^m)_\phi$ , determine distributions of the differential rotation and meridional circulation:

$$\frac{\partial}{\partial t} \bar{\rho} r^2 \sin^2 \theta \Omega = -\nabla \cdot \left[ r \sin \theta \bar{\rho} \left( \hat{\mathbf{T}}_\phi + r \sin \theta \Omega \bar{\mathbf{U}}^m \right) \right] + \nabla \cdot \left[ r \sin \theta \frac{\overline{\mathbf{B} \mathbf{B}}_\phi}{4\pi} \right], \quad (42)$$

$$\begin{aligned} \frac{\partial \omega}{\partial t} = & r \sin \theta \nabla \cdot \left[ \frac{\hat{\boldsymbol{\phi}} \times \nabla \cdot \bar{\rho} \hat{\mathbf{T}}}{r \bar{\rho} \sin \theta} - \frac{\bar{\mathbf{U}}^m \bar{\omega}}{r \sin \theta} \right] + r \sin \theta \frac{\partial \Omega^2}{\partial z} - \frac{g}{c_p r} \frac{\partial \bar{s}}{\partial \theta} \\ & + \frac{1}{4\pi \bar{\rho}} \left( \bar{\mathbf{B}} \cdot \nabla \right) \left( \nabla \times \bar{\mathbf{B}} \right)_\phi - \frac{1}{4\pi \bar{\rho}} \left[ \left( \nabla \times \bar{\mathbf{B}} \right) \cdot \nabla \right] \bar{B}_\phi, \end{aligned}$$

where  $\hat{\mathbf{T}}$  is the turbulent stress tensor:



**Fig. 9** (a) Streamlines of meridional circulation and the angular velocity distribution; the magnitude of circulation velocity is of 13 m/s on the surface at the latitude of  $45^\circ$ . (b) Radial profiles of  $\eta_T + \eta_{||}$ , the rotationally induced part  $\eta_{||}$ , as well as  $v_T$ . (c) Radial profiles of the  $\alpha$  tensor at  $45^\circ$  latitude. (d) Streamlines of effective drift velocity from magnetically affected pumping and meridional circulation. Reproduced by permission from Pipin (2022).

$$\hat{T}_{ij} = \langle u_i u_j \rangle - \frac{1}{4\pi\bar{\rho}} \left( \langle b_i b_j \rangle - \frac{1}{2} \delta_{ij} \langle \mathbf{b}^2 \rangle \right), \quad (43)$$

(see detailed description in Pipin and Kosovichev, 2018, 2019, hereafter PK19). Also,  $\bar{\rho}$  is the mean density,  $\bar{s}$  is the mean entropy;  $\partial/\partial z = \cos\theta\partial/\partial r - \sin\theta/r \cdot \partial/\partial\theta$  is the gradient along the axis of rotation. The mean heat transport equation determines the mean entropy variations from the reference state due to the generation and dissipation of the large-scale magnetic field and large-scale flows:

$$\bar{\rho}\bar{T} \left[ \frac{\partial\bar{s}}{\partial t} + (\bar{\mathbf{U}} \cdot \nabla) \bar{s} \right] = -\nabla \cdot (\mathbf{F}^c + \mathbf{F}^r) - \hat{T}_{ij} \frac{\partial\bar{U}_i}{\partial r_j} - \bar{\boldsymbol{\varepsilon}} \cdot \bar{\mathbf{J}}, \quad (44)$$

where  $\bar{T}$  is the mean temperature,  $\mathbf{F}^r$  is the radiative heat flux,  $\mathbf{F}^c$  is the anisotropic convective flux (see PK19). The last two terms in Eq. (44) take into account the convective energy gain and sink caused by the generation and dissipation of LSMF and large-scale flows. The reference profiles of mean thermodynamic parameters, such as entropy, density, and temperature are determined from the stellar interior

model MESA (Paxton et al, 2015). The radial profile of the typical convective turnover time,  $\tau_c$ , is determined from the MESA code, as well. We assume that  $\tau_c$  does not depend on the magnetic field and global flows. The convective rms velocity is determined from the mixing-length approximation,

$$u_c = \frac{\ell_c}{2} \sqrt{-\frac{g}{2c_p} \frac{\partial \bar{s}}{\partial r}}, \quad (45)$$

where  $\ell_c = \alpha_{\text{MLT}} H_p$  is the mixing length,  $\alpha_{\text{MLT}} = 1.9$  is the mixing length parameter, and  $H_p$  is the pressure height scale. Equation (45) determines the reference profiles for the eddy heat conductivity,  $\chi_T$ , eddy viscosity,  $\nu_T$ , and eddy diffusivity,  $\eta_T$ , as follows,

$$\chi_T = \frac{\ell^2}{6} \sqrt{-\frac{g}{2c_p} \frac{\partial \bar{s}}{\partial r}}, \quad (46)$$

$$\nu_T = \text{Pr}_T \chi_T, \quad (47)$$

$$\eta_T = \text{Pm}_T \nu_T. \quad (48)$$

It should be noted that stellar convection might well have convection zones with slightly subadiabatic stratification in some layers. In those cases, the enthalpy flux can no longer be transported entirely by the mean entropy gradient, but there can be an extra term that is nowadays called the Deardorff term; see Deardorff (1972). Such convection can be driven through the rapid cooling in the surface layers and is therefore sometimes referred to as entropy rain Brandenburg (2016). It is useful to stress that the Deardorff term is distinct from the usual overshoot, because there the enthalpy flux points downward, while entropy rain still produces an outward enthalpy flux. It is instead more similar to semiconvection.

**Boundary conditions.** At the bottom of the tachocline,  $r_i = 0.68 R$  we put the solid body rotation and the perfect conductor boundary conditions. Following to the MESA solar interior model we put the bottom of the convection zone to  $r_b = 0.728 R$ . At this boundary we fix the total heat flux,  $F_r^{\text{conv}} + F_r^{\text{rad}} = \frac{L_\star(r_b)}{4\pi r_b^2}$ . We introduce the decrease factor of  $\exp(-100 z/R)$  for all turbulent coefficients (except the eddy viscosity and eddy diffusivity), where  $z$  is the distance from the bottom of the convection zone. The decrease of the eddy viscosity and eddy diffusivity is confined by one order of magnitude for the numerical stability. At the top,  $r_t = 0.99 R$  we employ the stress free and black body radiating boundary. Following ideas of Moss and Brandenburg (1992) we formulate the top boundary condition in the form that allows penetration of the toroidal magnetic field to the surface:

$$\delta \frac{\eta_T}{r_{\text{top}}} B \left( 1 + \left( \frac{|B|}{B_{\text{esq}}} \right) \right) + (1 - \delta) \mathcal{E}_\theta = 0, \quad (49)$$

**Free parameters.** The model employs the number of free parameters, including  $C_\alpha$ , the turbulent Prandtl numbers  $\text{Pr}_T$  and  $\text{Pr}_{M,T}$ ,  $\delta$ ,  $B_{\text{esq}}$ , and the global rotation rate

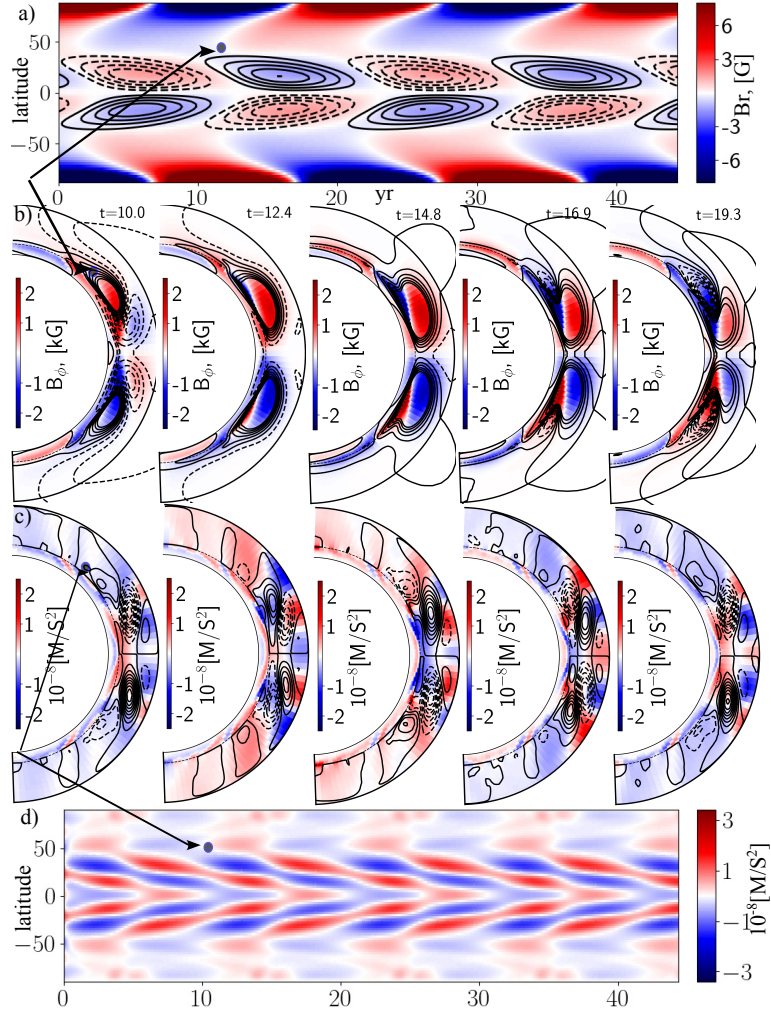
$\Omega_0$ . For the solar case we use the period of rotation of solar tachocline determined from helioseismology,  $\Omega_0/2\pi=434$  nHz (Kosovichev et al, 1997). The best agreement of the angular velocity profile with helioseismology results is found for  $\text{Pr}_T = 3/4$ . Also, the dynamo model reproduces the solar magnetic cycle period,  $\sim 20$  years, if  $\text{Pm}_T = 10$ . Results of Pipin and Kosovichev (2011) showed that the parameters  $\delta$  and  $B_{\text{esq}}$  affect the drift of the equatorial drift of the toroidal magnetic field field in the subsurface shear layer and magnitude of the surface toroidal magnetic field. The solar observations show the magnitude of surface toroidal field about 1-2 G (Vidotto et al, 2018). To reproduce it we use  $\delta = 0.99$  and  $B_{\text{esq}} = 50\text{G}$ . In what follows we demonstrate results of the solar dynamo model for the slightly supercritical parameter  $C_\alpha$  (10% above the threshold). Further details of the dynamo model can be found in Pipin and Kosovichev (2019).

The Figure 9 illustrates profiles of the basic turbulent effects and large-scale flow distributions for the nonmagnetic case. The amplitude of the meridional circulation on the surface is about  $13 \text{ m s}^{-1}$ . In the low part of the convection zone the equatorward flow is about  $1 \text{ m s}^{-1}$ . The angular velocity distribution is in agreement with the helioseismology data.

Interestingly, the stagnation point of the meridional circulation is near lower boundary of the subsurface shear layer, i.e., at  $r = 0.9 R$ . This is in agreement with observations of Hathaway (2012) and the helioseismic inversions of Stejko et al (2021). The structure of meridional circulation and turbulent pumping promotes an effective equatorward drift of the toroidal magnetic field below the subsurface shear layer; see Figure 9(d).

## 5.2 Parker–Yoshimura dynamo waves and extended cycle

The dynamo shown in Figure 10 demonstrates the numerical solution of the dynamo system including Eqs. (32) and (42)–(44). The time latitude diagrams of the surface radial magnetic field and the toroidal magnetic field in the upper part of the convection zone show agreement with observations of evolution the large-scale magnetic field of the Sun (Hathaway, 2015; Vidotto et al, 2018, see also the review of Rigmire in this volume). The dynamo waves propagate to the surface equatorward. The radial direction of propagation follows the Parker–Yoshimura rule because of positive sign of the  $\alpha$  effect in the main part of the convection zone and the positive latitudinal shear. Noteworthy that at high latitude the model shows another dynamo wave family which propagates poleward along the convection zone boundary. This family follows the Parker–Yoshimura rule as well. Further we will see that the latitudinal shear plays the dominant role in this dynamo model and perhaps in the solar dynamo as well (see also Cameron and Schüssler, 2015). The latitudinal drift of the toroidal magnetic field in this model results from the turbulent pumping and meridional circulation; see Fig9(d). The global convective dynamo simulations of Warnecke et al, 2018, 2021 show the crucial role of the turbulent pumping in the solar type dynamo model, as well. The extended mode of the dynamo cycle is another feature of the given model.



**Fig. 10** a) The surface radial magnetic field evolution (color image) and the toroidal magnetic field at  $r = 0.9R$  (contours in range of  $\pm 1\text{kG}$ ); b) snapshots of the magnetic field distributions inside the convection zone for half dynamo cycle, color shows the toroidal magnetic field and contours show streamlines of the poloidal field; c) snapshots of the dynamo induced variations of zonal acceleration (color image) and streamlines of the meridional circulation variations (contours); d) variations of zonal velocity acceleration at the surface.

The toroidal magnetic field dynamo wave starts at the bottom of the convection zone around  $50^\circ$  latitude (see the mark points in Figure 10). It disappears near the solar equator after full dynamo cycle. On the surface the extended mode of the solar cycle is seen in the radial magnetic field evolution, in the torsional oscillations of zonal flow and in variations of the meridional circulation as well (Getling et al, 2021). The origin of the extended mode of the dynamo cycle is due the distributed character



of the large-scale dynamo and interaction of the global dynamo modes, where the low order dynamo modes, e.g., dipole and octupole modes, are mainly generated in the deep part of the convection zone and the high order modes are predominantly generated in the near surface level. The phase difference between the models results into the dynamo mode of the extended length (Stenflo, 1992; Obridko et al, 2021).

### 5.3 Torsional oscillations

Solar zonal variations of the angular velocity (“torsional oscillations”) were discovered by Howard and Labonte (1980). Since that time it was found that torsional oscillations represent a complicated wave-like pattern which consists of alternating zones of accelerated and decelerated plasma flows (Snodgrass and Howard, 1985; Altrrock et al, 2008; Howe et al, 2011). Ulrich (2001) found two oscillatory modes of these variations with the periods of 11 and 22 years. Torsional oscillations were linked to ephemeral active regions that emerge at high latitudes during the declining phase of solar cycles, but represent magnetic field of the subsequent cycle (Wilson et al, 1988). Interesting that in original paper Howard and Labonte (1980) conjectured that the solar torsional oscillation can shear magnetic fields and induce the dynamo cycle. This idea was further elaborated in a number of papers. However the idea looks unreasonable because of conflicts with the Cowling theorem. Also, the magnitude of the torsional oscillations of  $3\text{--}6\text{ m s}^{-1}$  is too small in compare to magnitude of the magnetic field generated by dynamo. The first papers by Schuessler (1981) and Yoshimura (1981) suggested that the 11-h year solar torsional oscillation can be explained by the mechanical effect of the Lorentz force. The double frequency of the zonal variation results from the  $B^2$  modulation of the large-scale flow due to the dynamo activity. On the base of the flux-tube dynamo model Schuessler (1981) using the simple estimation of the large-scale Lorentz force found both 11 and 22 year mode of the torsional oscillations. This results was elaborated further by Kleeorin and Ruzmaikin (1991). The further development of the mean-field theory of the solar differential rotation showed that in addition to the large-scale Lorentz force, the dynamo induced  $B^2$  modulation of the turbulent angular momentum fluxes is also an essential source of the torsional oscillations (Ruediger and Kitchatinov, 1990; Kitchatinov et al, 1994; Kleeorin et al, 1996; Kueker et al, 1996; Rüdiger et al, 2012). Global convective dynamo simulations (e.g., Beaudoin et al, 2013; Käpylä et al, 2016a; Guerrero et al, 2016) confirmed the conclusion. The strength of the solar torsional oscillations is more than two orders of magnitude less than the differential rotation. It looks like the theory of the torsional oscillations can be constructed using the perturbative approximations. The models of this type (see, e.g., Tobias 1996; Covas et al 2000; Bushby and Tobias 2007; Pipin 2015; Hazra and Choudhuri 2017) were inspired by results of Malkus and Proctor (1975). Yet, the constructed models are incomplete because they ignore the Taylor-Proudman balance, which is the key ingredient of the solar differential rotation theory (see Kitchatinov 2013, also contribution of Hazra et al, this volume). The complete mean-field dynamo models which

take into accounts the Taylor-Proudman balance (hereafter TPB) were constructed by Brandenburg et al (1992), Rempel (2007) and Pipin and Kosovichev (2019) (hereafter PK19). Figure 10 shows variations of the zonal acceleration for our mean-field model in following PK19 line of work. Similar to results of helioseismology (Howe et al, 2011; Kosovichev and Pipin, 2019) and results of Rempel (2007), snapshots of the model show that in the main part of the convection zone the acceleration patterns are elongated along the rotation axis. This is caused by the Taylor-Proudman balance. Near the convection zone boundaries these patterns deviate in the radial direction, which is in agreement with the above cited helioseismology results, as well. The given observation on the role of TPB show importance of the meridional circulation and the dynamo induced heat transport perturbation (Spruit, 2003; Rempel, 2007) in the theory of the torsional oscillations. This fact does not deny importance of the large-scale Lorentz force and the magnetic modulation of the turbulent angular momentum transport. Results of Figures 10b and c show that the positive sign of the zonal acceleration propagates from the high latitude bottom of the convection zone toward equator sticking to the equatorial edge of the dynamo wave. The torsional oscillation wave is accompanied by the corresponded variations of the meridional circulation. These variations are induced by the magnetic perturbations of the heat transport (see details in PK19). We emphasize that the given dynamo models also show overlapping magnetic cycles; see Figure 10(b), similarly to what was originally proposed by Schuessler, 1981]. In this case  $B^2$  effect of the dynamo on the heat transport and the TPB results in about 4 to 5 meridional circulation cells along latitude. This track transports zonal variations of angular velocity, which are caused by the mechanical action of the large scale Lorentz force and magnetic quenching of the turbulent stresses, from polar regions to the equator. PK19 found that the induced zonal acceleration is  $\sim (2-4) \times 10^{-8} \text{ m s}^{-2}$ , which is in agreement with the observational results of Kosovichev and Pipin (2019). However, the individual forces in the angular momentum balance such that the large-scale Lorentz force, the variations of the angular momentum transport due to meridional circulation, the inertial forces, and others are by more than an order of magnitude stronger than their combined action and can reach a magnitude of  $\sim 10^{-6} \text{ m s}^{-2}$ . Therefore the resulting pattern of the torsional oscillations forms in nonlinear balance, which include the forces driving the angular momentum transport, the TPB and heat perturbations due to magnetic activity in the convection zone (see details in PK19).

#### 5.4 Dynamo flux budget

Following Cameron and Schüssler (2015) (hereafter, CS15, also see the chapter by Cameron & Schüssler) we now estimate the budget of the toroidal magnetic flux in the dynamo region. Using the Stokes theorem and the induction equation Eq. (32), we define the derivative of the toroidal magnetic field flux in the northern hemisphere of the Sun as

$$\frac{\partial \Phi_{\text{tor}}^{\text{N}}}{\partial t} = \oint_{\delta\Sigma} (\bar{\mathbf{U}} \times \bar{\mathbf{B}} + \mathcal{E}) \cdot d\mathbf{l}, \quad (50)$$

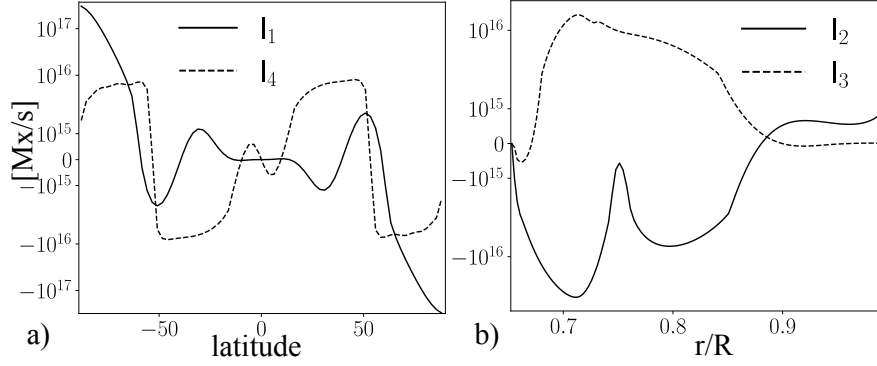
where  $\Phi_{\text{tor}}^{\text{N}} = \int_{\Sigma} \bar{B}_{\phi} dS$ ,  $\Sigma$  is the meridional cut of the northern hemisphere of the solar convection zone,  $\delta\Sigma$  stands for the contour confining the cut and the differential  $d\mathbf{l}$  is the line element of  $\delta\Sigma$ . The same can be written for the southern hemisphere flux  $\Phi_{\text{tor}}^{\text{S}}$ . Similarly to CS15, we use the boundary conditions, and we estimate the RHS of the Eq. (50) in the coordinate system which is co-rotating with angular velocity of the solar equator,  $\bar{U}_{0\phi} = R \sin \theta \Omega_0$ , and  $\Omega_0$  the surface angular velocity at the equator,

$$\begin{aligned} \frac{\partial \Phi_{\text{tor}}^{\text{N}}}{\partial t} = & \int_0^{\pi/2} \overbrace{(\bar{U}_{\phi} - \bar{U}_{0\phi}) \bar{B}_{r,r_1}}^{I_1} d\theta + \int_{r_i}^{r_t} \overbrace{(\bar{U}_{\phi}^{(\frac{\pi}{2})} - \bar{U}_{0\phi}) \bar{B}_{\theta}^{(\frac{\pi}{2})}}^{I_2} dr \\ & + \int_{r_i}^{r_t} \overbrace{(\mathcal{E}_r^{(0)} - \mathcal{E}_r^{(\frac{\pi}{2})})}^{I_3} dr + \int_0^{\pi/2} \overbrace{(\mathcal{E}_{\theta}^{(t)} r_1 - \mathcal{E}_{\theta}^{(i)} r_i)}^{I_4} d\theta \end{aligned} \quad (51)$$

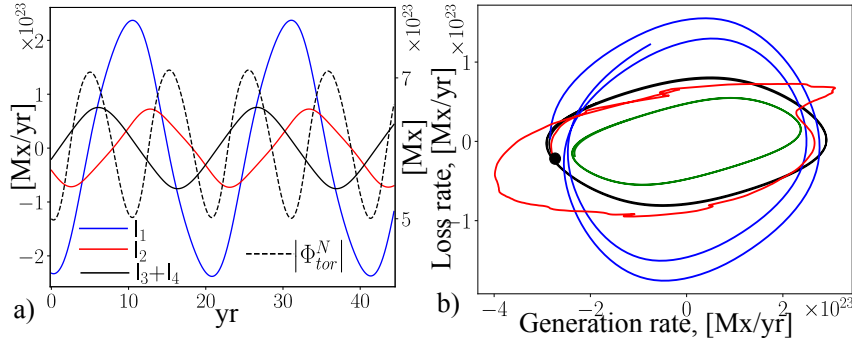
here,  $r_t = 0.99 R$ ,  $r_i = 0.67 R$ , are the radial boundaries of the dynamo region. In compare to CS15 we have additional contributions in the budget equation. Figure 11 shows profiles of the kernels  $I_{1-4}$  for the period of the magnetic cycle minimum. The estimations are based on results and parameters of the mean-field model presented above. Noteworthy, the south hemisphere should show the profiles of the opposite sign (see CS15). The results for  $I_{1,4}$  qualitatively similar to CS15. This is because the mean-field model show the qualitative agreement with solar observations for the time latitude evolution of the surface radial magnetic field. The diffusive decay of the toroidal magnetic flux is captured as well because of the phase shift between evolution of the poloidal and toroidal magnetic field in dynamo model and presumably in the solar dynamo as well. The model show the sharp poleward increase of  $I_1$ . This effect produces the winding of the toroidal magnetic field from poloidal component by the latitudinal shear. The effect of the radial shear,  $I_2$ , has maximum near the bottom of the convection zone, where its magnitude is less than the  $I_1$ .

Figure 12(a) shows the time evolution of the RHS contributions of Eq. (51). In our model we see that  $I_2$  is about factor 2 less than  $I_1$ . Winding of the toroidal field by the latitudinal shear seems to be the main generation effect in our model and, perhaps, in the solar dynamo, as well. The radial shear is less efficient because it is small in the main part of the convection zone. Also, it has the opposite sign near the convective zone boundaries. This justifies applications of simple 1-D Babcock-Leighton dynamos to the solar observations as argued by CS15. Together with the fact of the poleward increase of  $I_1$  it explain the relative success of correlation of the polar magnetic field strength and the magnitude of the subsequent magnetic cycle for the solar cycle prediction (Choudhuri et al, 2007).

Figure 12(b) shows the budget of the toroidal flux generation rate and loss rate for our dynamo model. The parameters of the budget are larger than those deduced by CS15 from solar observations. The difference is because of additional generation

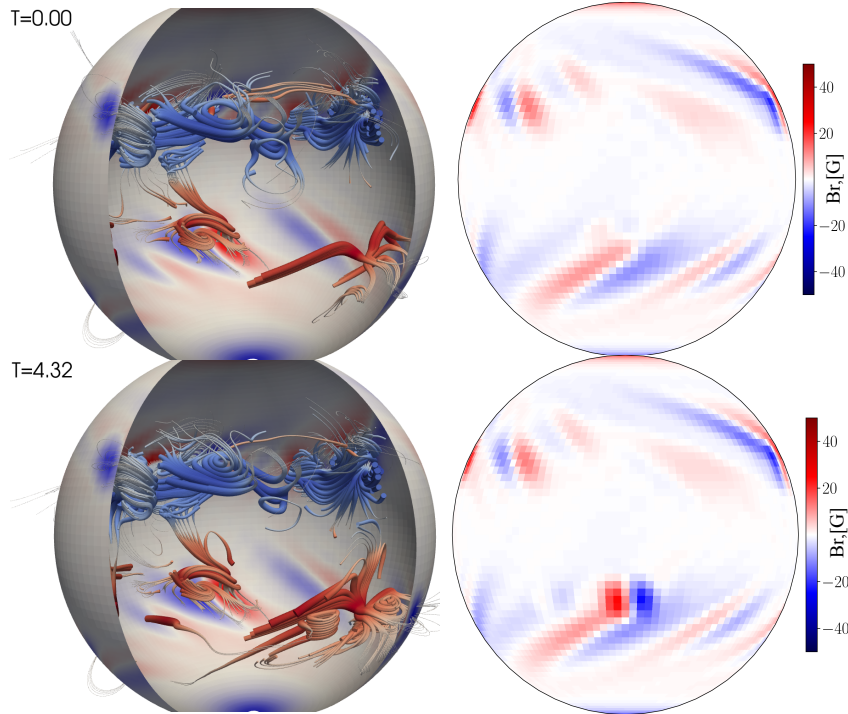


**Fig. 11** Estimation of contributions of the budget equation; see Eq. (51), for the time of cycle minimum.



**Fig. 12** (a) Time evolution of the RHS contributions of Eq. (51); (b) the dynamo models budget, black line show the standard mean-field model, green line - the budget which includes only the surface contributions ( $I_{1,3}$ ), blue line - the run where the radial subsurface shear (region  $r=0.9-0.99R$ ) is neglected and the red line shows the model with accounts of surface spot-like activity effects. Starting point is marked by black circle.

and loss terms. The budget which includes only the surface activity contributions (green line in Fig. 12b) is less than the full case. Also, the magnitude of the generation rate by the latitudinal shear can be larger than in the solar observations because of difference in the latitudinal profiles of the surface radial magnetic field. We guess that in the dynamo model the radial magnetic field increase poleward steeper than in observations. This issue have to be studied further. Figure 12b shows the budget for another two dynamo models. In one case, we neglect the generation effect of the radial subsurface shear in region  $r=0.9-0.99R$ . In compare to the standard case, this model shows the reduction of the generation rate, the amplitude of the generated toroidal flux, and increase of the loss rate. Therefore we conclude the importance of the subsurface shear layer for our dynamo model.



**Fig. 13** Snapshots of magnetic regions in the south hemisphere in ascending phase of the magnetic cycle. The left column shows the nonaxisymmetric magnetic field lines, time is shown in days. The right column shows the radial magnetic field on the top boundary. (reproduced by permission from Pipin et al, 2022).

### 5.5 Impact of the surface activity on the deep dynamo

The above analysis shows the importance of surface activity for the dynamo model and perhaps for the solar dynamo as well. Sunspot activity in the form of magnetic bipolar regions is one of the most important aspects of magnetic surface activity. A consistent approach to include it in dynamo models is at present absent. Also, the origin of sunspots and their bipolar magnetic field is not well known; see Sect. 4.5. The Babcock-Leighton type and flux-transport dynamo models use a phenomenological approach. It is also applicable to mean-field models. Pipin (2022) studied the effect of surface activity on convection zone dynamos. Here, we briefly discuss some results of the paper. The emergence of bipolar magnetic regions (BMRs) is modeled using the mean electromotive force which is represented by the  $\alpha$  and magnetic buoyancy effects acting on the unstable part of the axisymmetric magnetic field as follows:

$$\mathcal{E}_i^{(\text{BMR})} = \alpha_\beta \delta_{i\phi} \langle B \rangle_\phi + V_\beta (\hat{\mathbf{r}} \times \langle \mathbf{B} \rangle)_i, \quad (52)$$

where the first term takes into account the BMR's tilt and the second term models the surface magnetic region in the bipolar form. To produce the bipolar like regions we have to restrict spatially  $V_\beta$  in Eq. (52) to the small scales that are typical for the solar BMR; see details in the above cited paper. Position and emergence time are chosen to be random and modulated by the large-scale magnetic activity. The BMR's  $\alpha$ -effect parameters are random as well; see details in (Pipin, 2022; Pipin et al, 2022). The given approach could be refined further using the 3D hydrodynamics, effects of the Coriolis force and the theory of the Joy's law developed recently by Kleeorin et al (2020). Figure 13 illustrates the formation of BMR simulated in the dynamo model. It was found that the BMR effects on the dynamo are restricted to the shallow layer below the surface. At the surface, the effect of the BMR on the magnetic field generation is dominant. Compare to the standard axisymmetric mean-field model discussed in the subsections above, the nonaxisymmetric dynamo, which includes the emergence of tilted BMR, can result in additional dynamo generation of the large-scale poloidal magnetic field and to an increase of the polar magnetic field. The red line in Figure 12(b) shows the budget for this nonaxisymmetric dynamo model. We see an increase of the toroidal flux generation rate in the nonaxisymmetric model because of the surface BMR activity. Similar to Cameron and Schüssler (2015), we can conclude that sunspot surface activity seems to play an important part in the solar large-scale dynamo.

## 5.6 Effect of corona on the dynamo

Usually, dynamo models are limited to the star embedded in a vacuum, which is described by boundary conditions on the stellar surface. However, the boundary conditions have a determining influence on the global solutions, such as the symmetry about the equator. With the assumption of an external vacuum, all induction effects in the corona are neglected. Since the solar surface rotates differentially, the highly conductive plasma in the corona also causes induction effects through shear. Observations of coronal rotation are very scarce. There is evidence from extended coronal holes of rigid rotation in latitude (Timothy et al, 1975; Bagashvili et al, 2017). Kinematic dynamo models involving the corona with various assumptions on its rotation and conductivity give a wide range of solutions (Eltner et al, 2020). A notable influence of the corona on the dynamo in the convection zone was also observed in DNS by Warnecke et al (2016). A too weak density contrast and too strong viscous coupling of the corona to the star in their model probably underestimates the effect of the Lorentz force in the corona. Considering a dynamical situation with dominant Lorentz force in the corona, the solution in the Sun corresponds to that with vacuum boundary condition independent of rotation and conductivity in the corona. The magnetic field in the corona varies in time to a nearly force-free solution. Further investigations of the star-corona coupling are needed to clarify the exchange of magnetic energy and helicity.

**Table 1** Comparison of cycle periods  $P_{\text{cyc}}$  (in years) from Noyes et al (1984) (NWV84), Baliunas et al (1995) (Bal+95), and Bonanno and Corsaro (2022) (BC22). The last two columns compare the seismic age given by BC22 and the gyrochronological age as listed by Brandenburg et al (2017b) (BMM17). The latter differ significantly, but the determined cycle periods were remarkably stable over the decades.

	— $P_{\text{cyc}}$ [yr] —			age [Gyr]	
	HD NWV84	Bal+95	BC22	BC22	BMM17
3651	10	13.8	14.70	—	7.2
4628	8.5	8.37	8.47	3.33	5.3
16160	11.5	13.2	12.68	—	6.9
160346	7	7.00	7.19	—	4.4
201091	7	7.3	7.11	6.10	3.3
201092	11	11.7	—	—	3.2

## 6 Stellar cycle periods

Noyes et al (1984) developed an early understanding of the observed stellar cycle periods,  $P_{\text{cyc}}$ . In those early years, there were just six stars with measured rotation and cycle periods. Remarkably, those values have not changed much with the more accurate data of Baliunas et al (1995); see Table 1 for a list of the cycle periods of Noyes et al (1984), compared with those of Baliunas et al (1995) and the more recent data set of Bonanno and Corsaro (2022). The data of Noyes et al (1984) suggested

$$\omega_{\text{cyc}} \propto \Omega^{1.25}. \quad (53)$$

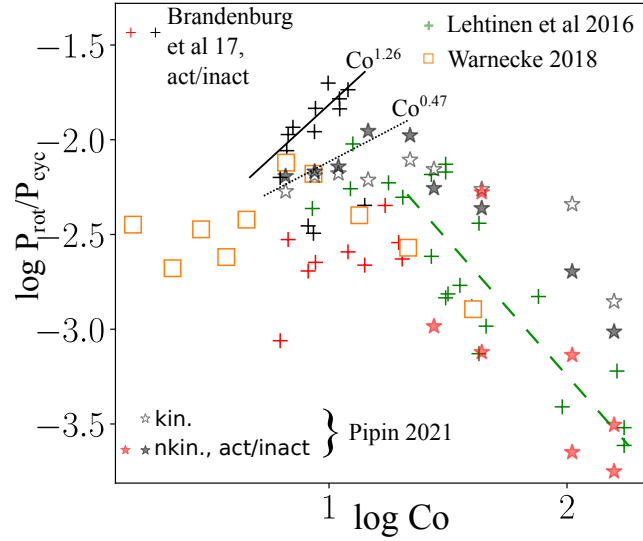
for the cycle frequency  $\omega_{\text{cyc}} = 2\pi/P_{\text{cyc}}$  versus angular rotation rate  $\Omega$ . This dependence is reproduced by considering free dynamo waves by assuming axisymmetric mean fields  $\bar{\mathbf{B}} = b\hat{\phi} + \nabla \times a\hat{\phi}$  with  $(a, b) \propto e^{i(ky - \omega t)}$  and writing  $-i\omega = -i\omega_{\text{cyc}} + \lambda$ , where both  $\omega_{\text{cyc}}$  and  $\lambda$  are assumed to be real. The main field dynamo equations result in traveling wave solutions with a dispersion relation of the form

$$\lambda = \sqrt{\alpha\Omega'kL/2} - \eta_{\text{T}}k^2, \quad (54)$$

$$\omega_{\text{cyc}} = \sqrt{\alpha\Omega'kL/2}. \quad (55)$$

At least up to moderate rotation rates, it is reasonable to assume that  $\alpha$  and  $\Omega'$  are proportional to  $\Omega$ . The crucial assumption in arriving at an approximation that matches Eq. (53) is to assume that the relevant wavenumber  $k_y$  is selected not by the condition of marginal excitation, but by the assumption that  $\lambda = \lambda(k)$  is maximized. Thus,  $k$  has to obey the  $d\lambda/dk = 0$ , which yields  $\omega_{\text{cyc}} \propto (\alpha\Omega')^{2/3} \propto \Omega^{4/3}$ . By contrast, if the dynamo is quenched to the being marginally excited, then  $\omega_{\text{cyc}} \propto (\approx \eta_{\text{T}}/L^2)$ , which would be either independent of  $\Omega$ , or perhaps even decreasing with  $\Omega$ , if  $\eta_{\text{T}}$  decreases with increasing  $\Omega$  due to quenching.

Of course, nonlinear dynamos must always be quenched to reach a steady state. This led Brandenburg et al (1998) to suggest that Eq. (53) could be obeyed if both  $\alpha$

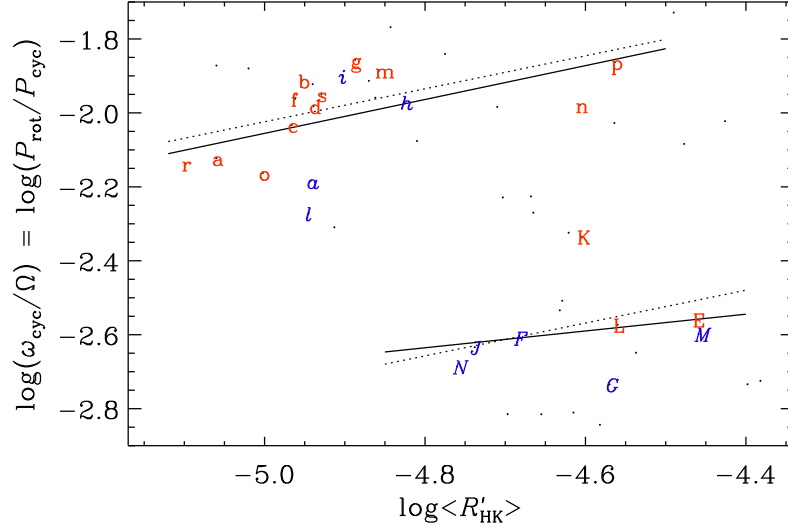


**Fig. 14** Dependence of cycle period on stellar rotation rate. Red and black crosses show the results of Brandenburg et al (2017b), green crosses those of Lehtinen et al (2020), orange squares the models of Warnecke (2018), and stars are from the models of Pipin (2021); act/inact marks the active and inactive branches of activity; ‘kin’ and ‘nkin’ stand for kinematic and nonkinematic models (adapted by permission from Pipin, 2021).

and  $\eta_T$  are *antiquenched* in such a way that  $\eta_T$  is quenched faster than  $\alpha$ , so that  $\omega_{\text{cyc}}$  would increase with increasing magnetic field strength, and hence  $\Omega$ , and would still saturate. Whether this the only viable solution to this puzzle remained unclear.

Recently, a number of the numerical dynamo models were applied to investigate the relation of the cycle period on the stellar rotation rate in the solar analogs (Pipin, 2015; Strugarek et al, 2017; Warnecke, 2018; Hazra et al, 2019; Pipin, 2021; Noraz et al, 2022). Figure 14 shows some these results including the results of observations of Brandenburg et al (2017b) and survey of Lehtinen et al (2020). Interesting that the saturation branch of the stellar activity on the young solar analogs with period of rotation less than 10 days is well reproduced in the very different solar-like dynamo models including the global convective dynamo simulation (Strugarek et al, 2017; Warnecke, 2018), flux transport model of Hazra et al (2019) and mean-field model of Pipin (2021). In Fig.14 this branch is marked by the green line. The mean cycle period in this branch is almost independent of stellar rotation rate. The non-kinematic nonlinear model of Pipin (2021) show multiple periods along this line. Pipin (2021) found that saturation of the dynamo activity is accompanied by depression of the latitudinal shear, concentration of the magnetic activity to the surface and changes the meridional circulations from one-cell to multiple-cell per hemisphere structure. Following conclusions of the above cited paper, in saturated stated the dynamo waves do not follow the Parker–Yoshimura law. Their cycle period is determined by the turbulent diffusion and meridional circulation. That is why predictions of the flux-





**Fig. 15**  $P_{\text{rot}}/P_{\text{cyc}}$  versus  $\log\langle R'_{\text{HK}} \rangle$  for all stars of Bonanno and Corsaro (2022) (small black symbols). Lowercase (uppercase) letters denote data points of Bonanno and Corsaro (2022) that were also included in the sample of Brandenburg et al (2017b). The dotted lines denote the fits determined by Brandenburg et al (2017b) while the upper (lower) solid lines denote fits to the stars of Bonanno and Corsaro (2022) with lowercase (uppercase) letters.

transport and nonkinematic mean-field dynamo models coincide. The independence of the cycle period from rotation rate can be typical for the dynamo solutions which show concentration of the magnetic activity toward the dynamo region boundaries (see Pipin, 2015; Pipin and Kosovichev, 2016).

The inactive branch of the nonkinematic mean-field dynamo models shows fairly strong positive inclination (see Figure 14), which is absent in the kinematic models. We see that the dynamo model can reproduce a power law  $\sim \text{Co}^{0.5}$  avoiding the *antiquenching* concept of Brandenburg et al (1998). In fact, the nonkinematic dynamo models show the so-called doubling frequency phenomena for the models in between 10 and 15 days rotation period (see Figs. 3 and 8 of Pipin, 2021). The frequency doubling or the second harmonic generation is known from nonlinear optics. It is typical for the waves propagation in the nonlinear media. In the dynamo waves, the second harmonics are generated because of the  $B^2$  effects such as the magnetic effects on the large-scale flow, magnetic helicity conservation and magnetic buoyancy effects. The second harmonics can be found in the solar activity, as well (Sokoloff et al, 2020). For the solar case they are subdominant. However they can become dominant for the fast rotating stars. This makes the interpretation of the magnetic activity cycles difficult (Stepanov et al, 2020). Summarizing, we find the Parker–Yoshimura dynamo regime for the solar analogs rotating with period above 15 days; in interval of the stellar rotation periods between 10 to 15 days the doubling frequency occurs; for the lower rotational periods the dynamo transits to a saturation

**Table 2** Comparison of stellar cycle properties from the samples of Bonanno and Corsaro (2022) and Brandenburg et al (2017b) (indicated as “old”). The blue italics and red roman letters refer to the stars discussed in Brandenburg et al (2017b) and are also indicated in Figure 15.

HD	Sym	$\log\langle R'_{\text{HK}} \rangle$	$\log\langle R'^{\text{old}}_{\text{HK}} \rangle$	$P_{\text{rot}}$ [d]	$P_{\text{rot}}^{\text{old}}$ [d]	$P_{\text{cyc}}$ [yr]	$P_{\text{cyc}}^{\text{I}}$ [yr]	$P_{\text{cyc}}^{\text{A}}$ [yr]
100180	<i>h</i>	-4.83	-4.92	14.06	14.00	3.60	3.60	12.90
103095	<i>i</i>	-4.90	-4.90	32.51	31.00	7.07	7.30	—
10476	<i>c</i>	-4.97	-4.91	35.40	35.20	10.45	9.60	—
146233	<i>l</i>	-4.95	-4.93	22.66	22.70	11.59	7.10	—
160346	<i>m</i>	-4.86	-4.79	34.20	36.40	7.19	7.00	—
16160	<i>d</i>	-4.94	-4.96	48.29	48.00	12.68	13.20	—
165341	<i>n</i>	-4.61	-4.55	19.51	19.00	5.09	5.10	15.50
166620	<i>o</i>	-5.00	-4.96	42.25	42.40	16.81	15.80	—
219834	<i>s</i>	-4.93	-4.94	38.89	43.00	9.48	10.00	—
26965	<i>f</i>	-4.96	-4.87	40.83	43.00	10.24	10.10	—
3651	<i>a</i>	-5.06	-4.99	40.50	44.00	14.70	13.80	—
4628	<i>b</i>	-4.95	-4.85	37.82	38.50	8.47	8.60	—
81809	<i>g</i>	-4.89	-4.92	40.93	40.20	8.05	8.20	—
219834	<i>r</i>	-5.10	-5.07	43.40	42.00	16.29	21.00	—
201091	<i>p</i>	-4.56	-4.76	35.62	35.40	7.11	7.30	—
Sun	<i>a</i>	-4.94	-4.90	25.55	25.40	10.70	11.00	80.00
149661	<i>K</i>	-4.61	-4.58	20.92	21.10	12.38	4.00	17.40
152391	<i>M</i>	-4.46	-4.45	11.01	11.40	11.94	—	10.90
156026	<i>L</i>	-4.56	-4.66	18.85	21.00	19.31	—	21.00
190406	<i>N</i>	-4.76	-4.80	14.01	13.90	18.61	2.60	16.90
76151	<i>F</i>	-4.68	-4.66	14.70	15.00	16.34	2.50	—
78366	<i>G</i>	-4.57	-4.61	9.60	9.70	14.26	5.90	12.20
114710	<i>J</i>	-4.74	-4.75	11.99	12.30	14.12	9.60	16.60
22049	<i>E</i>	-4.46	-4.46	11.09	11.10	11.00	2.90	12.70

stage, it can be characterized by the high magnetic activity and multiply dynamo periods which are independent of the stellar rotation rate.

In recent work of Bonanno and Corsaro (2022), new cycle data were collected for altogether 67 stars. Their new sample includes stars with less accurate data points, so the existence of different branches was no longer a pronounced feature. In addition, many of the new data points are different from the earlier ones of Brandenburg et al (2017b); see Table 2. As in their paper, we denote G and F dwarfs by the same blue italic symbols and K dwarfs by the same red roman symbols.

To see how strong this revision of the data is, we plot in Figure 15 the ratios  $P_{\text{rot}}/P_{\text{cyc}}$  versus  $\log\langle R'_{\text{HK}} \rangle$  for all stars of Bonanno and Corsaro (2022) and highlight with lowercase and uppercase letters the stars that were also included in the sample of Brandenburg et al (2017b). We see that the new data are remarkably consistent with the old ones. Out of the eight stars on the branch of active stars, five were listed by Brandenburg et al (2017b) as having two periods. Of the 16 inactive stars, three were listed with two periods, but the case of the Sun was classified by Brandenburg et al (2017b) as somewhat different, because the 80 years Gleissberg cycle does not fit well on the active branch and, unlike all the other stars with two cycle periods, which are all younger than 3.3 Gyr, the Sun is relatively old.

## 7 Mean-field models based on the EMF obtained from DNS

We now review recent studies of mean-field dynamo models constructed based on the electromotive force (EMF) obtained from direct numerical simulation (DNS) of rotating stratified convection, especially focusing on “semi-global” models. The properties of solar and stellar convection, and the various methods for extracting the information of the EMF from DNS are also summarized.

### 7.1 Properties of Solar and Stellar Convection

The convection zones (CZs) of the Sun and stars are in a turbulent state with huge values of fluid Reynolds number ( $\text{Re} \gtrsim 10^{12}$ ), magnetic Reynolds number ( $\text{Re}_M \gtrsim 10^8$ ), Rayleigh number ( $\text{Ra} \gtrsim 10^{20}$ ), and an extremely low Prandtl number ( $\text{Pr} \sim 10^{-4} - 10^{-7}$ ); see, e.g., Ossendrijver (2003). A quantitative physical description of solar and stellar dynamos, which should be the result of the nonlinear interaction of turbulent flows and magnetic fields, is a great challenge for us and constitutes a significant milestone on the long way to a full understanding of turbulence. Even with state-of-the-art supercomputers, it is impossible to numerically simulate solar and stellar convection and its interaction with the magnetic field and to observe/analyze numerical data in detail with realistic parameters. Therefore, to say with complete confidence that one has fully understood the solar and stellar dynamo problem, it should be necessary to find a universal law of magneto-hydrodynamic (MHD) turbulence, build a reliable sub-grid scale (SGS) turbulence model, and then reproduce the magnetic activities of the Sun and stars quantitatively in an integrated framework by numerical models with incorporating the SGS model. This is because fluid quantities that may be verified in future observations should include the meridional distributions of fluid velocity, vorticity, kinetic helicity, and thus the turbulence model constructed on the basis of these profiles (e.g., Hanasoge et al, 2016). Only when the correctness of the turbulence model is observationally validated should our understanding of the solar and stellar dynamos as a consequence of the turbulent dynamo process be completed. In the near future, a very exciting time may come when we will be able to test and verify various turbulence models under extreme conditions inside the solar and stellar interiors.

What physical characteristics should be taken into account when constructing a turbulence model of thermal convection in the Sun and stars? Let us summarize some essential features:

1. **Extremely low dissipation:** turbulent state with  $\text{Re} \gtrsim 10^{12}$ ,  $\text{Re}_M \gtrsim 10^8$ , and a large Péclet number,  $\text{Pe} \sim 10^6 - 10^9$  (where  $\text{Pe} = \text{Re} \cdot \text{Pr}$ ).
2. **Huge separation of dissipation scales:**  $\text{Pr} \sim 10^{-4} - 10^{-7}$ ,  $\text{Pr}_M \sim 10^4$
3. **Compressibility:** high Mach number  $\mathcal{O}(1)$  in the upper convection zone makes the convective motion compressible.

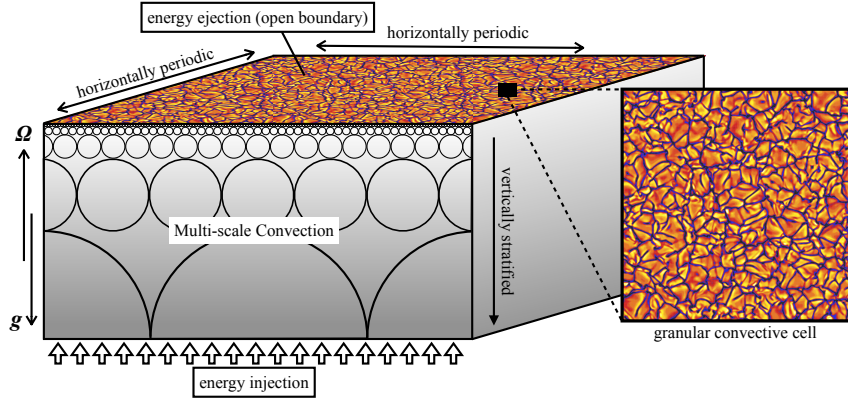
4. **Anisotropy**: spin of stars (i.e., Coriolis force in a rotating system) makes fluid motions anisotropic.
5. **Inhomogeneity**: density contrast of  $10^6$  between top and bottom CZs results in multi-scale properties of fluid motion.
6. **Non-locality**: Radiative energy loss at the CZ surface (open system), allowing the growth of cooling-driven downflow.

In view of these features, it can be seen that the characteristics of thermal convection operating inside the Sun and stars are quite different from those of isotropic turbulence. Those can be considered to some extent in DNS even with the current computing performance, as listed under 3–6, while the others, (items 1 and 2) are unreachable with current grid-based simulations. It should be emphasized, however, that higher resolution simulations using state-of-the-art supercomputers is a classical way forward in turbulence research, and the knowledge obtained from such studies in unexplored low-dissipation regimes will greatly expand the horizon of our understanding of turbulence (e.g., Kaneda et al, 2003; Hotta and Kusano, 2021). Moreover, if sufficient scale separation between the turbulent and mean fields is ensured and the inertial range of the turbulent cascade is captured appropriately, there is the possibility that the evolution of mean-field components, such as large-scale flow and large-scale magnetic field, can be approximately reproduced even by simulations with enhanced dissipation compared to the actual solar and stellar values (e.g., Ossendrijver, 2003). It should be remembered, however, that in spite of the rapid increase in computing power, some rather basic questions about the solar dynamo still remain, for example the equatorward migration of the sunspot belts and the formation of sunspots themselves.

## ***7.2 Semi-global simulation of rotating stratified convection***

On our way toward a reliable SGS turbulence model for solar and stellar interiors, numerical models of convection and its dynamo should be studied, while keeping the characteristic features of solar and stellar convection, as listed under items 3–6 above, in mind. It should be noted that the underlying necessity for numerical modeling is an important component of earlier studies that applied mixing-length type concepts to the dynamo theory, which never successfully explained the magnetic activities of the Sun and stars (e.g., Brandenburg and Tuominen, 1988).

In recent years, significant progress has been made in global convective dynamo simulations (e.g., Browning et al, 2006; Ghizaru et al, 2010; Käpylä et al, 2012; Masada et al, 2013; Fan and Fang, 2014; Augustson et al, 2015; Hotta et al, 2016; Warnecke, 2018), there is also a growing effort to extract the information of turbulent transport processes from so-called “semi-global” (or local model) MHD convection simulations with the aim of quantifying the dynamo effect of rotational stratified convection (e.g., Brandenburg et al, 1990, 1996; Nordlund et al, 1992; Brummell et al, 1998, 2002; Ossendrijver et al, 2001; Käpylä et al, 2006a, 2009b; Masada and Sano, 2014b,a, 2016; Bushby et al, 2018; Masada and Sano, 2022). A typical



**Fig. 16** Numerical setup typical for semi-global simulation of rotating stratified convection. Since the CZs of the Sun and stars are strongly stratified, there is a large separation of time scales from minutes (upper CZs) to months (bottom CZs).

numerical setup of the semi-global model is shown in Figure 16 schematically. In this setting, the gas is gravitationally stratified in the vertical direction, while periodicity is assumed in the horizontal directions. The governing equations (mostly compressible MHD equations) are solved in a rotating Cartesian frame, and the rotation axis is usually set to be parallel or anti-parallel to the gravity vector. Several studies have simulated the model with the tilt of the rotation axis with respect to the gravity vector, and the latitudinal dependence of the convection has been investigated (e.g., Ossendrijver et al, 2001; Käpylä et al, 2004, 2006a).

### 7.3 Extraction of information of dynamo effects

In the semi-global studies, four-types of approaches have been used typically to extract the information of dynamo effects veiled in the convective motion. The starting point of all the four methods is common, the decomposition of the flow field ( $\mathbf{U}$ ) and magnetic field ( $\mathbf{B}$ ) into a spatially large-scale, slowly-varying mean-component, and a small-scale, rapidly varying fluctuating component, as introduced in § 1, i.e.,  $\mathbf{U} = \bar{\mathbf{U}} + \mathbf{u}$  and  $\mathbf{B} = \bar{\mathbf{B}} + \mathbf{b}$ , where the lower-case represent the fluctuating component and the overbars denote the mean component. In the case of a semi-global model, a temporal and horizontal average is often used for deriving the mean component. Then, the equation of mean-field electrodynamics can be derived

$$\frac{\partial \bar{\mathbf{B}}}{\partial t} = \nabla \times (\bar{\mathbf{U}} \times \bar{\mathbf{B}} + \bar{\mathcal{E}} - \eta \nabla \times \bar{\mathbf{B}}), \quad (56)$$

where  $\bar{\mathcal{E}} = \overline{\mathbf{u}' \times \mathbf{b}'}$  is the mean electromotive force (EMF) due to the fluctuation of the flow and the magnetic field. The mean EMF can be described as a power series

about the large-scale magnetic component and its derivatives as

$$\overline{\mathcal{E}} = \overline{\mathbf{u} \times \mathbf{b}} = \alpha \cdot \overline{\mathbf{B}} + \gamma \times \overline{\mathbf{B}} - \beta \cdot (\nabla \times \overline{\mathbf{B}}) + \dots, \quad (57)$$

where  $\alpha$  represents (tensorial form of) the  $\alpha$ -effect,  $\gamma$  is the turbulent pumping, and  $\beta$  denotes the turbulent diffusion.

To obtain the information of the dynamo coefficients, such as  $\alpha$ ,  $\gamma$ , and  $\beta$ , from the MHD convection simulation, there are the following four methods:

- (i) Method based on first-order smoothing approximation (FOSA) expressions
- (ii) Imposed-field method
- (iii) Test-field method
- (iv) Self-sustained field based method

Method (i) involves the estimation of dynamo coefficients based on FOSA (also known as the second-order correlation approximation). There, the distributions of, for example, the fluctuating components of the convection velocity ( $\mathbf{u}$ ), vorticity ( $\boldsymbol{\omega} = \nabla \times \mathbf{u}$ ), and the resulting kinetic helicity ( $\mathcal{H} = \boldsymbol{\omega} \cdot \mathbf{u}$ ), are directly extracted from the simulation results and used to reconstruct the turbulent  $\alpha$  and  $\beta$  via their analytic forms, derived under FOSA, such as Eq. (14) and  $\beta = (\tau/3)\overline{\mathbf{u}^2}$ , where  $\tau$  is the correlation time of the turbulence and is often replaced by the convective turnover time. Note that anisotropy effects are often neglected in the expressions above, but see Brandenburg and Subramanian (2007), who included them.

Method (ii) is mainly used in the analysis of the numerical results without self-sustained magnetic field. In this method, a uniform external magnetic field is imposed as the mean component to the computational domain, artificially. Then, the turbulent  $\alpha$ -effect or the turbulent magnetic diffusivity is inferred from  $\overline{\mathcal{E}} = \overline{\mathbf{u} \times \mathbf{b}}$ , which is directly calculated from simulation data, via the relationship, if  $\overline{\mathcal{E}} = \alpha \overline{\mathbf{B}} - \beta \mu_0 \overline{\mathbf{J}}$  with  $\overline{\mathbf{J}} = \nabla \times \overline{\mathbf{B}}$ ,

$$\alpha = \overline{\mathcal{E}} \cdot \overline{\mathbf{B}} / \overline{\mathbf{B}}^2, \quad (58)$$

when assuming  $\overline{\mathbf{J}} \cdot \overline{\mathbf{B}} = 0$ . Furthermore, one might be tempted to compute  $\beta = \overline{\mathcal{E}} \cdot \overline{\mathbf{J}} / (\mu_0 \overline{\mathbf{J}}^2)$ , but these would assume that  $\overline{\mathbf{J}} \cdot \overline{\mathbf{B}}$  is vanishing, which is generally not the case for  $\alpha$ -effect dynamos; see Hubbard et al (2009) for details.

Method (iii) utilizes a so-called test-field, as introduced by Schrunner et al (2005, 2007) for the spherical case and Brandenburg et al (2008) for the Cartesian case, allowing for scale dependence. In this method, the evolution equation of  $\mathbf{b}'_{\text{T}}$ , the fluctuating component of the test field  $\mathbf{B}_{\text{T}}$ , which are passive to the velocity field taken from the simulation, is solved additionally to the basic (MHD) equations. From the linear evolution of the test-field, the mean EMF is evaluated and then the full set of turbulent transport coefficients can be obtained. For example, in the case without the large-scale flow, the test-field equation is, for  $\mathbf{b}_{\text{T}}$ ,

$$\frac{\partial \mathbf{b}_{\text{T}}}{\partial t} = \nabla \times (\mathbf{u} \times \mathbf{B}_{\text{T}} + \mathbf{u} \times \mathbf{b}_{\text{T}} - \overline{\mathbf{u} \times \mathbf{b}_{\text{T}}} - \eta \nabla \times \mathbf{b}_{\text{T}}), \quad (59)$$

with a chosen test field  $\mathbf{B}_T$  while taking  $\mathbf{u}$  from the MHD simulation. Note that the test-field method is only valid in the absence of turbulent magnetic components primarily, that is, if the magnetic fluctuation  $\mathbf{b}$  vanish for  $\overline{\mathbf{B}} = 0$ .

Method (iv) can be used only in the analysis of the numerical results with self-sustained magnetic fields. Since the fluctuating and mean components are all known quantities in such simulations, the mean *emf*,  $\overline{\mathcal{E}} = \overline{\mathbf{u} \times \mathbf{b}}$ , and the mean magnetic component,  $\overline{\mathbf{B}}$ , can be directly calculated from the simulation data. Then, the mean profiles of dynamo coefficients are inferred based on a fitting procedure via the relationship,

$$\mathcal{E}_i = \alpha_{ij} \overline{B}_j + \epsilon_{ijk} \gamma_j \overline{B}_k + \text{higher derivative terms} . \quad (60)$$

Given  $\mathcal{E}_i$  and  $\overline{B}_i$  which are calculated from simulation data, and then find  $\alpha_{ij}$  and  $\gamma_i$  such that the residual of Eq. (60) is minimized. In the equation above, the contributions from the derivatives of the mean magnetic component to the mean *emf* are neglected (see, e.g., Racine et al, 2011; Simard et al, 2013, 2016; Shimada et al, 2022, for the fitting based analysis of the dynamo coefficient with including the contribution from the first-order derivative of the mean magnetic component). In all cases, however, the first (and often higher) derivative terms are of the same order as the first term and can therefore not be neglected. This was already done in the work of Brandenburg and Sokoloff (2002), who typically found small diffusion coefficients in the cross-stream direction. This, however, turned out to be a shortcoming of the method and has not been borne out by more advanced measurements (Karak et al, 2014).

#### 7.4 Transport coefficients from semi-global turbulence simulations

Here, we briefly review the results of previous semi-global simulations, with a particular focus on the studies that have been dedicated for extracting information about dynamo coefficients.

Brandenburg et al (1990), hereafter B90, performed turbulent 3-D magneto-convection simulations under the influence of the rotation for the semi-global model whose depth is equivalent to about one pressure scale height. They found that, due to the effect of the rotation, a systematic separation of positive and negative values of the kinetic helicity was developed in the vertical direction of the CZ, i.e., in the upper CZ, negative (positive) helicity in the northern (southern) hemisphere, while positive (negative) helicity in the northern (southern) hemisphere. Using the imposed field method, they evaluated the magnitude of the turbulent  $\alpha$ -effect with anisotropic properties as  $\alpha_V / (\tau \mathcal{H}) \sim \mathcal{O}(0.1)$  and  $\alpha_H / (\tau \mathcal{H}) \sim \mathcal{O}(0.01)$ , where  $\mathcal{H} = \boldsymbol{\omega} \cdot \mathbf{u}$  and  $\overline{\mathcal{E}} = \alpha_H \overline{\mathbf{B}}_H + \alpha_V \overline{\mathbf{B}}_V$ . It is interesting to note that these values are about one to two orders of magnitude smaller than  $\alpha \sim \Omega d$ , which is the estimation based on the mixing-length theory. Additionally, it was also suggested that the magnetic helicity

showed a similar depth variation, but the sign was opposite to that of the kinetic helicity.

While  $\alpha_H$  had the expected sign (opposite to that of the kinetic helicity),  $\alpha_V$  was found to have the ‘wrong’ sign (same as that of the kinetic helicity). Such a result was subsequently also obtained by Ferriere (1993). The theoretical possibilities for such effects should be studied further. For example, Rüdiger and Pipin (2000) found that large-scale shear can affect both the sign of the  $\alpha$  effect and kinetic helicity in magnetically driven compressible turbulence in such a way that they have the same sign, e.g., for Keplerian accretion disks. These ideas were also applied to understanding the finding of a negative  $\alpha$  effect in stratified accretion disk simulations (Brandenburg, 1998).

Ossendrijver et al (2001) also performed the semi-global simulation with a similar model as B90. They showed that, even in the regime where the condition justifying the FOSA (or SOCA) is not satisfied, i.e., in the situation where  $St = u_{\text{rms}}\tau/d \gtrsim 1$  and  $Re > 1$ , the kinetic helicity was clearly separated into positive and negative values at the lower and upper CZs when taking temporal average of the convective motion over sufficiently long time. Using the imposed field method, they also measured the magnitude of the turbulent  $\alpha$ -effect and obtained similar values to B90 in terms of  $\alpha_H$  and  $\alpha_V$ . The rotational dependence of the  $\alpha$ -effect was also investigated in this work for the first time. They showed that, in the larger Co regime, the  $\alpha_V$  underwent a rotational quenching, while the  $\alpha_H$  was saturated, where Co is the Coriolis number [see Eq. (35)]. The turnover time was defined, in this work, as  $\tau = d/u_{\text{rms}}$ . While the depth-dependence or rotational dependence of the  $\alpha$ , which was obtained from the simulation, agreed, to some extent, with a theoretical model based on the mixing-length theory (Rüdiger & Kitchatinov 1993), their amplitudes were one to two orders of magnitude smaller than those predicted from the theoretical model. Noteworthy, the critical threshold of the  $\alpha$  effect parameter in mean field dynamo models (see subSection 5.1) is about same magnitude less than the mixing-length models of the solar convection zone predicts; see Sect. 5.

In Käpylä et al (2004, 2006a), additionally to the rotational dependence, the latitudinal dependence of the turbulent  $\alpha$ -effect was studied in the semi-global convection simulations with varying the inclination of the rotation axis with respect to the gravity vector. With the imposed field method, they found that, for slow and moderate rotation with  $Co < 4$ , the latitudinal dependence of the  $\alpha$  followed  $\cos\theta$  profile with a peak at the pole (see also, Egorov et al, 2004), while, in the rapid rotation regime with  $Co \approx 10$ , it rather peaked much closer to the equator at  $\theta \approx 30^\circ$ . Additionally, the vertical profile of the  $\alpha$  directly evaluated from simulation was found to be qualitatively consistent with analytic expression derived under the FOSA even when changing the latitude. A practical application of these results was the development of a kinematic mean-field solar dynamo model in Käpylä et al (2006b). In it, the rotation profile deduced from the helioseismic observation and the meridional profiles of the  $\alpha$ -effect and turbulent pumping obtained with the semi-global simulation of Käpylä et al (2006a) are integrated into the framework of the  $\alpha$ - $\Omega$  dynamo, and then the solar dynamo mean-field model was constructed. It is interesting that their kinematic dynamo model correctly reproduced many of the general features of the



solar magnetic activity, for example realistic migration patterns and correct phase relation.

The existence of large-scale dynamo, i.e., self-excitation of the mean magnetic component, in rigidly-rotating convection was demonstrated for the first time in the semi-global simulation by Käpylä et al (2009b). By changing the angular velocity, they showed that the large-scale dynamo could be excited only when the rotation is rapid enough, i.e.,  $Co \gtrsim 60$ , with Eq. (35) as the definition of  $Co$  which is same as that used in Ossendrijver et al (2001) and Käpylä et al (2006a); see, e.g., Tobias et al (2008) and Cattaneo and Hughes (2006), and Favier and Bushby (2013), for unsuccessful large-scale dynamo in rigidly-rotating convection probably due to slow rotation, and/or short integration time. From the measurements of the turbulent  $\alpha$ -effect and the turbulent diffusivity by test-field method, they also suggested that while the magnitude of the  $\alpha$ -effect stayed approximately constant as a function of rotation, the turbulent diffusivity decreased monotonically with increasing the angular velocity, resulting in the excitation of the large-scale dynamo in the higher  $Co$ . The reliability of the dynamo coefficients extracted with the test-field method from the simulation was validated with the one-dimensional mean-field dynamo model in which the test-field results for  $\alpha$  and  $\beta$  were used as input parameters by studying the excitation of the large-scale magnetic field at the linear stage. Note that the oscillatory properties of the large-scale dynamo in rigidly-rotating convection and its possible relationship with  $\alpha^2$  dynamo mode with inhomogeneous  $\alpha$  profile were also found in Käpylä et al (2013); see, e.g., Baryshnikova and Shukurov (1987) and Mitra et al (2010b) for the oscillatory  $\alpha^2$  dynamo.

## 7.5 Mean-field dynamo models linked with DNSs

### 7.5.1 Weakly-stratified Model

Below we review recent mean-field dynamo models linked with semi-global MHD convection simulations, where the large-scale dynamo is successfully operated; see Masada and Sano (2014b,a, 2016, 2022) for a series of numerical studies.

While Käpylä et al (2009b, 2013) were the first to demonstrate that rigidly-rotating convection can excite the large-scale dynamo as reviewed above, their simulation model was a so-called “three-layer polytrope” consisting of top and bottom stably-stratified layers and the CZ in between them. Therefore, it was suspected for a while that the essential factor for the successful large-scale dynamo observed there might be the presence of the stably-stratified layer assumed in their model rather than the rapid rotation (e.g., Favier and Bushby, 2013). To pin down the key requirement for the large-scale dynamo, the impact of the stably-stratified layers on the large-scale dynamo was studied in Masada and Sano (2014b), hereafter MS14a, in which two-types of semi-global models with and without stably-stratified layers are compared with the same control parameters and the same grid spacing. It was found in this study that a large-scale dynamo was successfully operated even in the model without

the stably-stratified layer, and confirmed that the key requirement for it should be a rapid rotation if we evolved the simulation for a sufficiently long time than the ohmic diffusion time. Note that a relatively weak density stratification (the density contrast between the top and bottom CZs is about 10) was assumed in the simulation model employed in this study as well as Käpylä et al (2009b, 2013).

With these results, Masada and Sano (2014a), hereafter MS14b, explored the mechanism of the large-scale dynamo operated in the rigidly-rotating stratified convection by linking the mean-field (MF) dynamo model with the DNS. In this study, the FOSA based approach was adopted in the MF modeling. The mean vertical profiles of the kinetic helicity and root-mean square velocity were directly extracted from the simulation data and then the vertical profiles of the turbulent  $\alpha$ , turbulent pumping ( $\gamma$ ) and turbulent diffusivity ( $\beta$ ) were reconstructed according to the analytic expressions of

$$\alpha(z) = -\tau_c (\overline{u_z \partial_z u_y} + \overline{u_x \partial_y u_z}), \quad \gamma(z) = -\tau_c \partial_z (\overline{u_z})^2, \quad \beta(z) = \tau_c \overline{(u_z)^2}, \quad (61)$$

in anisotropic forms of dynamo coefficients under the FOSA (e.g., Käpylä et al, 2006a). Although recent numerical studies indicate that the small-scale current helicity, i.e.,  $\mathbf{j} \cdot \mathbf{b}$ , is important for the  $\alpha$ -effect when the magnetic field is dynamically important (Pouquet et al, 1976; Brandenburg and Subramanian, 2005b), its contribution was ignored in this study. As the correlation time  $\tau_c$ , the convective turnover time defined by  $\tau = H_\rho(z)/u_{\text{rms}}$  was chosen there ( $H_\rho$  is the density scale-height as a function of the depth). By solving one-dimensional MF  $\alpha^2$  dynamo equation in which these profiles were used as input parameters, i.e.,

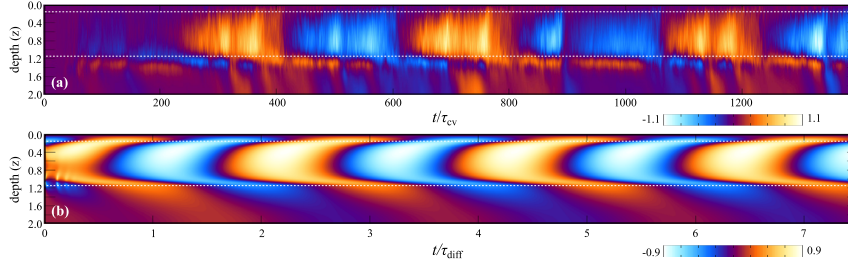
$$\frac{\partial \overline{\mathbf{B}}_h}{\partial t} = \nabla \times (\overline{\mathcal{E}} - \eta \nabla \times \overline{\mathbf{B}}_h), \quad (62)$$

with

$$\overline{\mathcal{E}} = \alpha(z) \overline{\mathbf{B}}_h + \gamma(z) \mathbf{e}_z \times \overline{\mathbf{B}}_h - \beta(z) \nabla \times \overline{\mathbf{B}}_h, \quad (63)$$

the time-depth diagram for the mean (horizontal) magnetic component ( $\overline{\mathbf{B}}_h$ ) was obtained. Shown in Fig. 17 is  $\overline{B}_x(z, t)$  for the MF model (panels (b)) and its DNS counterpart (panels (a)). Note that, for ensuring the saturation of the magnetic field growth, the quenching effect was also taken into account. Since the DNS results were quantitatively reproduced by the MF  $\alpha^2$  dynamo, MS14b concluded that the large-scale magnetic field organized in the rigidly-rotating turbulent convection was a consequence of the oscillatory  $\alpha^2$  dynamo.

Reproducing the DNS results with mean-field models using coefficients from the original DNS is an important verification of the whole approach. This has been done on many occasions in the past; see, for example, the work by Gressel (2010) and Warnecke et al (2021).

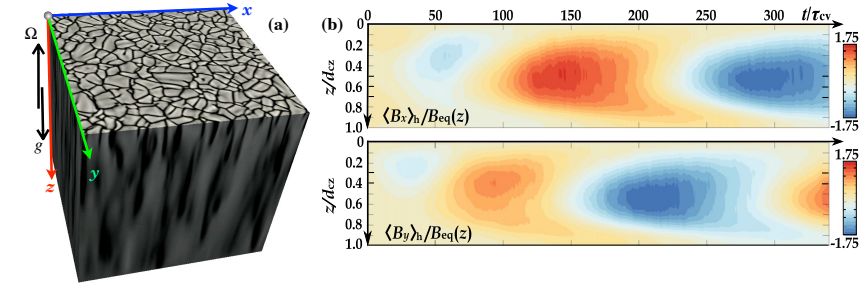


**Fig. 17** Time-depth diagram  $\overline{B}_x(z, t)$  for the MF model (panel (b)) and its DNS counterpart (panel (a)). For DNS result, the horizontal average of the magnetic field is shown. The orange and blue tones represent positive and negative  $\overline{B}_x$  in units of  $B_{cv} \equiv (\overline{\rho u^2})^{1/2}$ . Time is normalized by  $\tau_c$ . Note that  $\overline{B}_y$  shows a similar cyclic behavior with  $\overline{B}_x$  yet with a phase delay of  $\pi/2$ ; see MS14a,b for details.

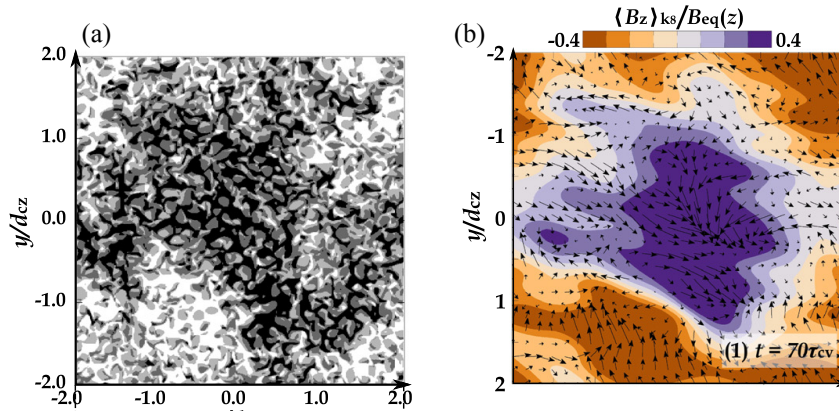
### 7.5.2 A strongly stratified model

In MS14a,b, a weakly-stratified model, in which the density contrast between top and bottom CZs is about 10, was adopted. However, the actual Sun has a strong stratification with a density contrast of  $10^6$  between top and bottom CZs, resulting in a large segregation of time scales from minutes to months. Bearing the application to solar and stellar interiors in mind, Masada and Sano (2016), hereafter MS16, performed a convective dynamo simulation in a strongly stratified atmosphere with a density contrast of 700 in a semi-global setup. Due to the strong solar- and stellar-like density stratification, multi-scale convection with a strong up-down asymmetry, i.e., slower and broader upflows surrounded by a network of faster and narrower downflow lanes, was developed in this simulation, as shown in Fig. 18(a). Even in such a situation, the large-scale dynamo was found to operate. As shown in Figure 18(b), the mean magnetic field components observed there showed a time-depth evolution similar to that in the weakly-stratified model (MS14a,b), indicating that an oscillatory  $\alpha^2$  dynamo is responsible for it. It was intriguing that, additionally to the mean horizontal component, the large-scale structures of the vertical magnetic field were spontaneously organized at the CZ surface in the case of the strongly stratified atmosphere, as shown in Figure 19.

A possible physical origin of such surface magnetic structure formation is the negative magnetic pressure instability (NEMPI; see § 8 for details). NEMPI is a mean-field process in the momentum equation, where the Reynolds and Maxwell stresses attain a component proportional to the square of the mean magnetic field, which acts effectively like a negative pressure by suppressing the turbulent pressure. Since its growth rate becomes larger for stronger density stratification (e.g., Jabbari et al, 2014), one can imagine that it may play an important role in organizing sunspot-like large-scale magnetic field structures in the upper part of the solar CZ. Although its presence has been confirmed numerically in forced MHD turbulence (e.g., Brandenburg et al, 2011; Warnecke et al, 2013), it does not play a significant role in organizing the surface magnetic structure seen in MS16 because of their



**Fig. 18** (a) 3-D view of the strongly stratified convection (for the progenitor run without rotation). The black (gray) tone denotes downflows (upflows). (b) Time-depth diagrams for  $\bar{B}_x$  and  $\bar{B}_y$ . The normalization is the equipartition field strength,  $B_{\text{eq}} \equiv (\rho u^2)^{1/2}$ . In MS16, a one-layer polytrope with a super-adiabaticity of  $\delta \equiv \nabla - \nabla_{\text{ad}} = 1.6 \times 10^{-3}$  was used; see MS16 and MS22 for details.

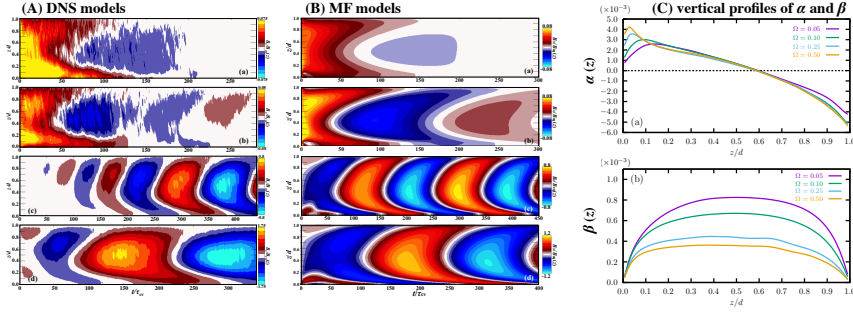


**Fig. 19** (a) A snapshot for the horizontal distribution of  $B_z$  at the CZ surface. (b) A snapshot for the Fourier filtered  $B_z$  processed from the data shown in panel (a). Here, the small-scale structures with  $k/k_c \geq 8$  are eliminated for casting light on the large-scale pattern ( $k$  is the wavenumber and  $k_c = 2\pi/L_h$  with the horizontal box size  $L_h$ ).

relatively rapid rotation;  $\text{Ro} = 0.02$  was assumed there, while, according to Losada et al (2012),  $\text{Ro} \gtrsim 5$  is required to excite the NEMPI.

The large-scale structure of the vertical magnetic field observed in MS16 is similar to that observed in the large-scale dynamo by forced turbulence in a strongly stratified atmosphere (Mitra et al, 2014; Jabbari et al, 2016). This suggests that there may be an as-yet-unknown mechanism for the self-organization of large-scale magnetic structures, which would be inherent in a strongly stratified atmosphere.

In Masada and Sano (2022), hereafter MS22, while varying angular velocity as a control parameter, they explored the rotational dependence of the large-scale dynamo in rigidly-rotating convection. They linked its cause through MF dynamo models with DNSs where a strongly stratified polytrope was adopted as a model of the convective atmosphere, as in MS16.



**Fig. 20** Time-depth diagrams of  $\overline{B}_x$  for (A) DNS models and (B) MF models. (C) Vertical profiles of the turbulent  $\alpha$ -effect (top) and turbulent diffusivity  $\beta$  (bottom) which are reconstructed with the analytic expressions of Eq. (61) from the information, such as kinetic helicity and rms velocity, directly extracted from DNSs.

In Figure 20(a), DNS results are shown where a time-depth diagram of  $\overline{B}_x$  is depicted for models with different values of  $Co$ . While in the slowly rotating model with low  $Co$ , the large-scale magnetic component starts to grow, it gradually stalls as time passes and finally disappears. The oscillatory large-scale magnetic field was found to be spontaneously organized in the rapidly-rotating models with high  $Co$ . It was found from DNS that the large-scale dynamo was excited when  $Co \gtrsim Co_{\text{crit}}$ , where  $Co_{\text{crit}}$  is the critical Coriolis number in the range  $25 \lesssim Co_{\text{crit}} \lesssim 80$ , with Eq. (35) as the definition of the Coriolis number. It is remarkable that  $Co_{\text{crit}}$ , which determines the success or failure of the large-scale dynamo, is almost the same regardless of the strength of the stratification (see Käpylä et al, 2009b) or the geometry of the simulation model (see, e.g., Käpylä et al, 2012; Warnecke, 2018, for  $Co_{\text{crit}}$  in the global simulations); see MS22 for the quantitative comparison between models.

To explore the underlying physics of the rotational dependence of the large-scale dynamo, the influence of the rotation on the turbulent dynamo coefficients was studied with the FOSA-based approach similar to that of MS14b. In Figure 19(c), the vertical profiles of the turbulent  $\alpha$  effect and turbulent diffusivity  $\beta$  reconstructed with the analytic expressions of Eq. (61) were shown. With increasing the spin rate, the turbulent diffusion weakens while the  $\alpha$  effect remains essentially unchanged over the CZ, providing an intuition that the rotational dependence of the large-scale dynamo observed in MS16 and MS22 was mainly due to the change in the magnitude of the turbulent diffusion. In fact, this insight was confirmed by the evidence that the MF dynamo model with incorporating the dynamo coefficients shown in Figure 19 reproduced quantitatively the result of the DNS; see Figure 19(b) for the time-depth diagram of  $\overline{B}_x$  obtained in the MF models with utilizing different dynamo coefficients extracted from the corresponding DNSs with different  $Co$ . Their conclusion taken from the FOSA-based MF approach was that, with increasing the angular velocity, the turbulent  $\alpha$ -effect remains essentially unchanged over the CZ while the turbulent diffusion weakens, giving the rotational dependence of the large-scale dynamo, which is not only the same as the conclusion obtained by Käpylä et al (2009b) from

weakly-stratified convective dynamo simulations using the “test-field method”, but also the same as that obtained by Shimada et al (2022) from global solar dynamo simulation with using the “self-sustained field method”. Although we don’t know whether the independence of the  $\alpha$ -effect on the rotation, seen in these studies, is universal or not, it may give an important suggestion not only on the turbulence modeling but on the solar dynamo modeling.

## 8 Looking forward

In this review, we have provided some insight into recent developments in our understanding of the generation of astrophysical large-scale magnetic fields. The current development of mean-field theory allows to go beyond some of the original restrictions that were related to the assumption of large scale-separation and the inappropriate neglect of nonlinear effects due to higher order correlations in contributions to the mean turbulent electromotive force. A big portion of the progress comes from the development in the DNS of astrophysical turbulence. Noteworthy, the classical mean-field theory is based on the fundamental equations of electrodynamics and has well-known limits. With the new steps forward, we can take into account results of the DNS, e.g., the spectral kernels, and treat them as the experimental facts. The necessity of some phenomenological additions to classical mean-field theory are motivated both by DNS and observations of the magnetic activity in astrophysical systems, such as those in our Sun and other stars. In this way, mean-field models become a valuable tool to understand the real and virtual worlds of the dynamo in stars and in DNS.

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